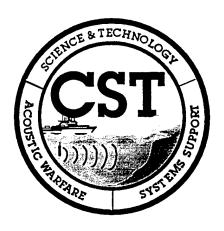
Reassessing the Issue of Pulse-Length Dependence of Bottom Scattering Strengths in Critical Sea Test Data

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Executive Summary

During the course of the Critical Sea Test (CST) program, a substantial number of measurements have been made of low-frequency, direct-path bottom scattering using both SUS charges and controlled waveforms. An unexpected pulse-length dependence (PLD) of low-frequency, bottomscattering strengths (BSS) was reported to have been observed in some coherent source data collected in several CST-5 and CST-7 Phase-3 locations. This dependence was basically independent of grazing angle and almost exclusively seen at frequencies between 875 and 1010 Hz. (Different signals were used across the tests. In a given run, the two signals with different pulse lengths also had different center frequencies.) However, such a dependence of scattering strength on pulse length contradicts basic theory, barring an unlikely sharp resonance in the dominant bottom-scattering mechanism. A review of the original processing and results, as well as independent reprocessing of selected CST-5 data revealed the reported PLDs to be due primarily to two effects: (1) a misapplication of intended source levels into the sonar-equation-based programs that compute scattering strengths (a ~4-dB, time-independent error); and (2) an error in how time was converted to grazing angle in these programs (up to ~ 2 -dB, mildly time-dependent error). These adjustments are generally consistent with the observed grazing-angle independence of the BSS's. Additionally, differences were found between the original and reprocessed absolute reverberation levels and the range of valid times (grazing-angle coverage).

Due to near-field effects, apparent PLD effects can be observed in acoustic field data: longer pulses and greater decay rates can both bias scattering strengths upward when estimated with the standard algorithm. However, straightforward formulas have been developed to correct for these biases. It should be noted that this correction does not require any knowledge of the subbottom or the system used to collect the data. To support prediction capabilities for active systems, it is recommended procedures be identified for realizing such corrections for apparent pulse-length-dependent effects at the operational level. (At a minimum, operators should be made cognizant of the phenomena.) Two such procedures include either:

Simulating (apparent) pulse-length effects when generating model curves for prediction or comparison with data curves (e.g., running a "T-second" window over the predicted RL curve of an impulsive signal),

or

Correcting the data curves and then comparing them with model predictions of impulsive signals (e.g., to invert for bottom parameters).

THE BOTTOM LINE is that the pulse length dependence of bottom scattering strengths is no longer an issue.

Reassessing the Issue of Pulse-Length Dependence of Bottom Scattering Strengths in CST Data

ABSTRACT

During the course of the Critical Sea Test (CST) program, a substantial number of measurements have been made of low-frequency, direct-path bottom scattering using both SUS charges and controlled waveforms. An unexpected pulse-length dependence (PLD) of low-frequency, bottom-scattering strengths (BSS) was reported to have been observed in some coherent source data collected in several CST-5 and CST-7 Phase-3 locations. This dependence was basically independent of grazing angle and almost exclusively seen at frequencies between 875 and 1010 Hz. (Different signals were used across the tests. In a given run, the two signals with different pulse lengths also had different center frequencies.) However, such a dependence of scattering strength on pulse length contradicts basic theory, barring an unlikely sharp resonance in the dominant bottom-scattering mechanism. A review of the original processing and results, as well as independent reprocessing of selected CST-5 data revealed the reported PLDs to be due primarily to two effects: (1) a misapplication of intended source levels into the sonar-equationbased programs that compute scattering strengths (a ~4-dB, time-independent error); and (2) an error in how time was converted to grazing angle in these programs (up to ~2-dB, mildly time-dependent error). These adjustments are generally consistent with the observed grazingangle independence of the BSS's. Additionally, differences were found between the original and reprocessed absolute reverberation levels and the range of valid times (grazing-angle coverage). The bottom line is that pulse length dependence of bottom scattering strengths is no longer an issue.

1. INTRODUCTION

An unexpected pulse-length dependence (PLD) of low-frequency, bottom-scattering strengths was reported to have been observed in some coherent source data collected in several Critical Sea Test (CST) locations (Reilly et al. 1995a, 1995b). For a given data set, the waveforms in question were interleaved upon transmission so that, on average—the results were ping averaged—they each experienced the same environment. However, this PLD contradicts basic theory, barring an unlikely sharp resonance in the dominant bottom-scattering mechanism (Henyey et al. 1995, 1996). The primary focus of this report is determining the validity of the processed results.

The processing and published results from selected CST runs have been reviewed, and the acoustic data independently reprocessed, with the goal of seeing if the results are reproducible and ascertaining the reasons if not. As the same source/receiver system, recording and processing were used for virtually all the CST coherent-pulse data analysis (save CST-8, where the effect was not seen), if the results for any one experiment can be verified then a phenomenon of major importance has been discovered. If, on the other hand, the results cannot be verified and, moreover, are shown to be pulse-length *independent*, then the theoreticians and system designers may sleep a little easier.

2. REVIEW OF RELEVANT DATA

During the course of the CST program, high-quality acoustic measurements using both impulsive and controlled waveforms characterized bottom backscattering as a function of frequency, geometric parameters (such as grazing angle) and environmental parameters (such as bottom type). Field testing revolved around the research vessel (R/V) Cory Chouest. This dedicated,

well-outfitted platform collected data throughout the northern hemisphere. Both impulsive and controlled sources were used not only to establish the connection between results using explosive charges and the waveforms of operational interest, but to unravel the physics behind the empirical results.

These highly-specialized EVA measurements were primarily monostatic measurements, conducted as a small number of short (30-min to 2-hr) modules interleaved among more system-oriented measurements. (Each execution of a module is known as a "run," generally indexed chronologically within a given sea test.) Using a small number of modules, repeated often, allowed a good sampling of environmental conditions (e.g., bottom-scatter data collected in different locations). Within a module, a small number of signals (typically, 1 to 10) were transmitted often—typically, every 60 to 180 s for short-range (or "direct-path") measurements—to provide meaningful statistics when comparing the results of one run versus another.

For the CST controlled-waveform results discussed in this report, the experimental configuration typically consisted of a 128-element, horizontal line array, cut for 1 kHz, as a receiver—known as the "high-frequency" array or HFA—towed roughly 1 km aft of the ship, and a series of contiguous vertical line arrays (VLAs) (deployed at midships through a center well) of 10 to 20 high-level sources (in each of three frequency bands: LF, 190-310 Hz; MF, 350-450 Hz; and HF, 850-1100 Hz, with typical individual element source levels of 213, 208 and 203 dB re 1μ Pa @ 1 m, respectively). (The three source arrays could all be operated simultaneously.) The sources and the receiver were both normally towed at center depths between 100 to 200 m at 3 kt. Both narrowband (Continuous Wave [CW]) and broadband (Hyperbolic Frequency Modulation [HFM]) transmissions of various bandwidths and durations were used. For direct-path bottom-scattering measurements, signal durations ranged between 0.05 and 4 s.

Significantly, the VLA sources were steerable and allowed application of shadings—such as Hamming and Taylor—to control sidelobe energy. These capabilities were exploited particularly during the direct-path, bottom-scattering measurements described here, where the source arrays were both shaded and steered down between 20 and 30 deg. This allowed obtainment of low-grazing-angle data by both ensonifying the bottom (steering down) and suppressing high-angle ensonification of the receiver sidelobes (shading).

For more details on both the experimental techniques and equipment used in CST, see Vaccaro et al. 1996.

2.1 CST-5 through CST-8

Bottom-scattering strengths (BSS) were derived from coherent source data collected in a number of CST-5, -7 and -8 locations within the LF, MF and HF source bands. An overview of experimental results is presented in Reilly et al. (1995a). More detail on experimental methods, processing methodologies, at-sea operations and the local geophysics for the individual tests may be found in Reilly and Sundvik (1992), Reilly and Craig (1993) and Reilly (1994). In the following we concentrate on just those runs where data corresponding to waveforms of different pulse lengths have been analyzed for BSS and the findings reported.

CST-5

HF tests were conducted in the spring of 1991 in the Ionian Sea, both in a deep basin (Run 1B) and in shallow water along the edge of a steep escarpment (Run 44). These runs provided the clearest examples of PLD (Reilly and Sundvik 1992; Reilly et al. 1995a): Fig. 1 shows separations of ~7-8 dB (1- and 0.25-s CW data from Run 1B, Ionian Basin) and ~4-5 dB (0.1- and 0.05-s CW data from Run 44, Medina Bank). (No HFMs were processed.) Note that in each case, the longer duration pulse has the greater BSS, and that the PLD effect is basically independent of grazing

angle. It is important to note that the CWs have different frequencies—see Table 1: the 1-s CW was at 880 Hz, the 0.1-s CW was at 1004 Hz, while the 0.25- and 0.05-s CWs were both at \sim 935 Hz.

CST-7 Phase 3

MF and HF bottom scattering tests were performed in the spring of 1992 in four environments near the edge of the continental shelf in the vicinity of the Quinalt Canyon off the coast of Washington during CST-7 Phase 3. These were designated as the north shelf (Run 12), the slope (Run 13), the canyon (Run 21) and the south shelf (Run 17). The reported PLD results (Reilly and Craig 1993; Reilly et al. 1995a) were decidedly less marked than those seen in CST-5 at HF, and generally inconsistent at MF:

 $\overline{\text{HF}}$. Fig. 2 shows that the 0.25-s HFM BSS's are on average noticeably greater than the CW BSS's (by ~ 3 dB). However, in the one case where both the 0.10- and 0.05-s CWs are present (Run 17), no clear separation between them is noted (in contrast to CST-5 Run 44). Again note that the CWs have different frequencies: the 0.1-s CW was at 1004 Hz, while the 0.05-s CW was at 935 Hz.

MF. At MF, there was no general pulse-length character to the BSS's. (Again, the very short-duration signals were at different frequencies—see Table 1.) Fig. 3 shows examples where PLD was not seen: in one case (Run 13, slope), the order of strength is 0.05, 0.25 and 0.10 s, while in the other case (Run 21, canyon), the order is 0.10, 0.25 and 0.05 s. The separation in either case between all the curves is at most a few dB. There was one MF case (Run 12, north shelf) where a PLD of ~4.6 dB was reported (Table 3-4 in Reilly and Craig 1993), though no corresponding plot showing the grazing-angle dependence was published in this reference. However, for this run it is most interesting to note the extreme spatial variability of the MF BSS's in this location: Fig. 3-7 of Reilly and Craig (1993) shows a ~16-dB change in BSS for the 0.1-s CW within 30 min.

CST-8

LF and HF bottom scattering tests were conducted in the spring of 1993 in the Nile Fan during CST 8. No PLD was noted at either LF or HF in CST-8 locations (Reilly 1994). The data collected here used a different source (omni) and source platform than those in CST-5 and CST-7 Phase 3. Further details on the experimental results may be found in Reilly (1994).

Summary of key points

- Same system and processing used for all CSTs (except CST-8).
- Different signals were used across the tests—see Table 1. For example, at HF: 0.25-s, 936-Hz and 1-s, 880-Hz CWs in deep water (CST-5), and 0.05-s, 935-Hz and 0.1-s, 1004-Hz CWs in shallow water (CST-5 and CST-7 Phase 3).
- PLD reported to be observed in some areas (CST-5 locations) and not at all in others (CST-8 locations).
- With the same pair of signals, PLD was seen in CST-5 Run 44, but not in CST-7 Phase 3 Run 17.
- PLD seen in some CST frequency bands and not in others:
 - Mainly HF (only exception CST-7 North Slope MF).
 - Never below 345 Hz.

If this is a bottom-interaction phenomenon, one might expect it also to manifest itself at

lower frequencies. Potential reasons for its absence at these frequencies are: (1) it could relate to some small-scale phenomenon that longer wavelengths are not sensitive to; and (2) the scattering process may be dominated by scatterers sufficiently deep in the subbottom that they are not reached by the HF signals at the angles ensonified.

- Where seen, longer pulses have the greater BSS's— in these cases, the Δ BSS is roughly $10 \log (T_{longer}/T_{shorter})$, i.e. $10 \log T + const$, where T is the pulse length (Reilly et al. 1995a).
- Where seen, the PLD has basically no dependence on grazing angle.
- Not all relevant CST data have been processed.

2.2 Other experiments

Signals of varying pulse lengths have been transmitted in other SPAWAR bottom-scattering experiments (also using the R/V Cory Chouest). No PLD effects have been observed in the data processed to date:

Magellan I. LF data collected, but not yet analyzed.

CST-10/Magellan II. Not seen in LF data processed so far (Holland, PSI, personal communication 1996). HF data exists, but have not yet been processed for BSS.

<u>LFA-11</u>. Not seen after processing an extensive collection—35 sites—of LF data.

LFA-13. LF data collected, but not yet analyzed at multiple pulse lengths.

2.3 Sea surface scattering results

For low-frequency (< 1000 Hz) sea-surface scattering strengths (SSS), no such pulse-length dependence has been observed in CST data (Ogden and Gauss 1996). General agreement has been observed between SSS's derived from both impulsive—SUS charges—and controlled waveforms (0.05- to 2.4-s CWs and LFMs, and by inference, 12-s Hanned CWs).

3. REVIEW OF THEORY

A theoretical analysis shows that the "ideal" scattering strength is independent of pulse length or pulse type for two pulses with the same carrier frequency (Henyey et al. 1995, 1996). The ideal scattering strength is obtained for pulses with narrow enough bandwidth that one can completely neglect the frequency dependence of the scattering strength over that of bandwidth. The measured scattering strength is an average of the ideal scattering strength over the frequency band of the pulse. The demonstration of the pulse-length independence is based on well-founded assumptions.

3.1 Assumptions

The assumptions are:

- The pressure field obeys a linear wave equation.
- The environment is independent of time, and ship-motion effects have been removed.
- The scattering strength is slowly varying over the bandwidth of the pulse.

3.2 Summary of the argument

Any source pulse s(t) can be written as $s(t) = \int s(\tau)\delta(t-\tau)d\tau$. Then the principle of superposition tells us that the pressure at the receiver P(t) from an arbitrary pulse is the response from a delta function pulse (the impulse response function) convolved with s(t). Fourier transforming into the frequency representation turns this convolution into a product; $\tilde{P}(f) = \tilde{s}(f)\tilde{k}(f)$, where $\tilde{s}(f)$ and $\tilde{k}(f)$ are the Fourier transforms of s(t) and the impulse response function, respectively. The absolute square of this expression, integrated over frequency, is $E_{\tau} = E_{s} < |\tilde{k}|^{2} >$, where E_{τ} is proportional to the energy returned, where E_{s} is proportional to the source energy, and where the angle brackets indicate an average weighted by the spectrum $|\tilde{s}(f)|^{2}$ of the transmitted waveform. The factor $< |\tilde{k}|^{2} >$ is independent of the pulse, except for its relative frequency content. The third assumption allows us to replace this average by $|\tilde{k}|^{2}$ at the nominal carrier frequency.

In the remainder of the demonstration, the sonar equation is used to show that, in essence, the scattering strength depends only on $<|\tilde{k}|^2>$. The sonar equation involves the ensonified area, which is proportional to the pulse length, and the transmitted power. Together, these make the transmitted energy, which cancel the factor of E_s in the above expression when the scattering strength is calculated. There is no remaining reference to the source pulse, other than its nominal frequency. In particular, the pulse length is irrelevant. The details of the derivation are contained in Henyey et al. 1996.

3.3 Implications for experiments

For typical CW pulses, the frequency dependence of the scattering strengths over the pulse bandwidth should be negligible, i.e. the third assumption above applies, and scattering strength independent of pulse length will result. If, on the other hand, two pulses have a very large bandwidth difference, e.g., hundreds of Hz, the third assumption may not apply, and then some difference in scattering strength with pulse type would not be inconsistent with the analysis. In addition, if the center frequency of two pulses differ, the intrinsic frequency dependence of the bottom scattering strength may be observed in the measurements. In general, however, there is only modest frequency dependence for low-frequency bottom scattering: a 6-dB change in scattering strength over a factor of 2 change in frequency would be in the high range (Ogden, personal communication 1996). For the CST-5 results analyzed in detail in this report (Fig. 1a), the pulse lengths (CW) were 0.25 s and 1.0 s at 936 Hz and 880 Hz, respectively—see Table 1. The 56-Hz difference in center frequency for these two pulses could in principle lead to measured scattering strength differences, without conflicting with the theoretical analysis. However, significant scattering strength differences between these two frequencies would be highly unexpected based on past measurements of the frequency dependence of bottom scattering. Very uniform layering in the sediment, for example, could lead to significant scattering strength variations over a 50-Hz frequency difference in the vicinity of 900 Hz. However, a rapid oscillatory variation of the scattering strength with grazing angle would also be expected (D.R. Jackson, APL/UW, personal communication 1995; see also Fig. 3 in Moe and Jackson 1994). Such dependencies were not observed in these low-frequency experiments (Holland et al. 1996a). For the CST-5 data, the nominal bandwidths of 4 Hz and 1 Hz are sufficiently small that the third assumption above should be exceedingly well satisfied. Thus, if bottom scattering strengths were measured with these pulses at the same center frequency, agreement in measured scattering strength for the two pulse lengths is predicted with extremely high confidence.

¹Oscillations in grazing angle have been seen by the lead author (Gauss et al. 1996) in the 190-to-310 Hz BSS data from LFA-11 (on the Scotian Continental Rise), but no dependence of BSS on pulse length was seen using CW and HFM signals of durations ranging from 0.1 to 4 s.

4. TOWARDS RESOLUTION OF THE CONTROVERSY

4.1 Goals and methods of investigation

To investigate the pulse-length dependence of bottom-scattering strength reported to have been observed in some of the CST data, an effort has been made to independently reproduce the reported results. The purpose was not to reprocess all the data, but to verify the input parameters and assumptions, the processing methodology and the sonar-equation values obtained as both reasonable and accurate.

To this end, the most notable case, HF Run 1B from CST-5—see Fig. 1a—was chosen.² Furthermore, Run 1B was a deep-water case, allowing for simpler investigation and surer interpretation of the sonar-equation terms vs. time.

Before examining that run in detail, we address some more general issues:

4.2 Source level (SL)

One potential source of discrepancy was uncovered in that the "typical" single-element source-frequency curves for HF—e.g., Dubord et al. (1990)—turned out not to be so typical after all. Reilly used the curve reported as representative in Dubord et al. (1990)—solid curve in Fig. 4. However, in another well-referred-to document,³ a different curve is presented as "typical," namely, the dashed curve in Fig. 4. As can be seen, there is a difference of 30 Hz between the locations of their (first) peak resonances. Consequently, an attempt was made to clarify HF source levels. The Seneca-Lake calibration of the individual HF elements—Dubord et al. (1990)—was used to derive (linear) average HF SL-vs-frequency values, the solid curve in Fig. 5. The resultant average displayed a broader resonance than either of the "representative" curves, with increased SL over the lower frequencies of the source band. This newly-derived curve was then verified to the extent possible:

- First, an attempt was made to confirm the frequency character of this mean curve. Direct arrivals of broad bandwidth (240-Hz) Linear Frequency Modulated (LFM) signals (from CST-7 Phase-2) recorded on the forward desensitized hydrophone (Fwd DeSen phone) were used. Correcting these spectra for the hydrophone's sensitivity versus frequency as measured at sea, though unfortunately only possible between 900 and 1100 Hz—see author for reference—excellent agreement with the above-mentioned resultant mean curve was obtained—see dashed curve in Fig. 5.
- Next, an attempt was made to determine the absolute SL. (The first step just gave the shape of the frequency-response curve—no attempt was made to back out TL, etc. to determine absolute SLs.) For this, the mean curve was compared with both Seneca-Lake and at-sea SL measurements. The most confidence was placed in the Seneca-Lake measurements—the conditions were much more controlled—and included both source array and single-element measurements, though unfortunately the former were only at one frequency. Additionally, whenever available, SLs recorded during CST at-sea calibrations were used as well to help estimate what the "true" SLs were.

²Notation: Reilly and Sundvik (1992) (and Reilly et al. 1995a) break Run 1B into sub-runs: e.g., Runs 1H and 1I occur simultaneously, but the former corresponds to the 1-s CW data and the latter to the 0.25-s CW data.

³See author for reference.

As a result of these comparisons, the conclusion was: use the newly-derived single-element mean curve and subtract an additional 0.8 dB. This extra adjustment comes from finding a 0.8-dB degradation of the measured array SLs relative to the single-element SLs + $20 \log N$, where N was the number of source-array elements. Specific single-element SLs include: $202.8 \text{ dB} \otimes 880 \text{ Hz}$, $201.9 \text{ dB} \otimes 935 \text{ Hz}$, $199.8 \text{ dB} \otimes 1004 \text{ Hz}$ and 201.4 dB over 900-1000 Hz.

A similar exercise at MF was performed: all the individual elements were *very* similar to one another—in sharp contrast to HF. See Figs. 6 and 7. (These curves have yet to be compared with direct arrivals of LFMs on the Fwd DeSen phone.)

4.3 Timing

In recent investigations of surface scattering strengths, some subtleties in timing, i.e. conversion of time to mean grazing angle, for non-impulsive signals have been resolved (Gauss et al. 1995). It has been seen to be of significance in deriving SSS's even for CWs with durations as short as 0.25 s (Gauss et al. 1995). Furthermore, errors of one pulse length in referencing time were recently found by Sundvik and Chester (NUWC) in deriving CST-7 SSS's from 0.05-s and 0.25-s CWs using the same processing stream as used in the original BSS processing (Chester, personal communication 1996). A careful attempt was made to see if timing errors could be the source of any of the pulse-length dependence observed in the CST bottom scattering strengths.⁴ As will be shown later, it turned out to be one of the two factors responsible for the reported PLD of the CST-5 Run-1B BSS's—see Section 6.9.

5. CST-5 RUN 1B: REVIEW OF THE INPUT PARAMETERS

To determine that the derivation of the sonar-equation terms for CST-5 Run 1B was as accurate as possible, attempts were made both to confirm the original choices of the input parameters and to determine their sensitivity to reasonable choices of their values. The parameter choices used in both the original processing and in the reprocessing are summarized in Table 2.

5.1 Sound-speed profile

The sound-speed profile (SSP)—derived from XBT Cast 1009—assumed in the reprocessing was the same used in the original processing of the CST-5 Run-1B data.⁵ It was found to be virtually identical to SSPs taken both 2 hours prior and 2 hours later.

5.2 Source tilt

A source tilt of 3.5° may be more reasonable, but to match the original processing a tilt of 4° was assumed. (It will be shown later that BSS *levels* were relatively insensitive to reasonable choices of source tilt for the beams considered in this study.)

5.3 Source-receiver separation

The backscattered signals were received on the HFA. Using documented values of cable scope (860 ft = 262.1 m), source depth, receiver depth, source tilt, and the *in situ* sound speed, the horizontal projection of the distance from the center of the source array to the Fwd DeSen phone was calculated to be 828 m. This calculated distance was verified using time-of-flight measurements of the 0.25-s CWs to the Fwd DeSen phone: consistent timings of ~ 0.55 s put its distance from

⁴ Aside: When simultaneously transmitting in different frequency bands (e.g., LF and HF) with the R/V Cory Chouest's VLA, one must look at the "non-TCB" signal on a ping-by-ping basis to determine the exact transmission time. While the delays might "average out" to some nominal value (say, 0.070 s), the effect on timing will be greater the shorter the signal's duration. This mode of transmission was not used by Reilly in CST 5 or CST-7 Phase 3.

⁵The same SSP was used in processing the immediately-prior, Run-2 SUS data for scattering strengths.

source at 833 m. The distance from this phone to the head of the HFA is 14.9 m. As the spacing between HFA elements is 0.76 m, the distances to the middle of receiving subapertures used in the original processing (phones 23 to 105) and in the re-processing (phones 23 to 86), would be 890.9 and 883.8 m, respectively. The original processing assumed 880.1 m, and 880 m was used here.

5.4 Near-field considerations

Using D^2/λ as a criterion, any HFA subaperture of 106 m or less can be used for the CST-5 deep-water sites as the range D where valid data exist is 7500 m or greater (for the aft beams examined here). As the entire HFA is 100 m long, there is no problem using any HFA subaperture for processing CST-5 deep-water, aft-beam BSS's. (Aside: for the Run-1B data examined here, the bottom depth was ~3400 m.)

5.5 Data-processing: general methodology

The original processing used Hamming-weighted beamforming—these beams were formed at sea by the real-time processor (RTP) (Sundvik, personal communication 1996).⁶ The reprocessing worked directly from the high-density digitally recorded (HDDR) element data. These HDDR data were conventionally filtered and beamformed with normalized Hamming weighting, correcting for the directionality of the hydrophone groups at HF: 0 dB at broadside, +0.8 dB at 138°R, +1.5 dB at endfire.

CW processing

The original processing used a time-domain, Hanning-weighted IIR filter. These narrowband filter bandwidths were set to 3 times the signal's natural bandwidth, i.e. 3 Hz for the 1-s CW and 12 Hz for 0.25-s CW (Reilly and Sundvik 1992).

The reprocessing used conventional FFT-based methods to produce power spectral densities (PSD) as a function of time. Here, windows were matched to the pulse duration (with 90%-overlap between windows). The PSDs were then summed over frequency bands keyed to the pulse duration to give the total power. To match the original processing, we used 3- and 12-Hz bands for the 1- and 0.25-s signals, respectively. We also increased these to 8- and 24-Hz bands, respectively, which increased the RLs by less than 0.2 dB. (See Fig. 8 for representative spectra.)

In both cases, ownship motion is accounted for at this stage.

All 12 pairs of 1- and 0.25-s CW pings from ping times 161 0217-0241 Z were used in the reprocessing. The original processing used 12 1-s and 19 0.25-s CW pings to compute their averages.

HFM processing

In both the original processing and the reprocessing methods, HF HFM data are match-filtered prior to sonar-equation analysis. None of the HFM data collected in CST-5—see Table 1—were originally processed for BSS. However, during the reprocessing, BSS's were derived from these data.

⁶For a test case, BSS's were derived from SUS data using both RTP beam data and post-test beamformed data using the raw hydrophone data. No systematic offsets were found using the two methods.

6. CST-5 RUN 1B: THE SONAR EQUATION TERM-BY-TERM

The sonar-equation formulas used in calculating the scattering strengths are given by:

$$BSS_{CW} = RL - SL + TL_{out} + TL_{back} - 10 \cdot \log(A)$$

$$BSS_{FM} = RL - SL + TL_{out} + TL_{back} - 10 \cdot \log(A) + 10 \cdot \log(W),$$

where BSS is the desired bottom scattering strength in dB, RL is the observed reverberation level in dB re $(1 \ \mu Pa)^2$, SL is the source level in dB re $(1 \ \mu Pa)^2$, SL is the source level in dB re $(1 \ \mu Pa)^2$, SL is the one-way transmission loss from the scattering patch to the scattering patch, TL_{back} is the one-way transmission loss from the scattering patch to the receiver, A is the area of the scattering patch in m^2 , and W is the bandwidth of the FM waveform in Hz. (Flat-bottom scattering off the interface is assumed.) Adjustments are required for the CW signals to account for the finite pulse length and the finite temporal resolution of the receiver (Gauss et al. 1995; Henyey et al. 1995, 1996)—see the Appendix for an adjustment formula (from Gauss 1996). Additionally, as noted earlier, the longer the CW pulse length, the more important it is to use the correct timing.

Linear averaging over pings was done to determine the mean response for individual beams: for both the original processing and the reprocessing, this averaging was over RLs.

Printouts of various direct-path processing input parameters and output values as a function of time for the 138°R beam are displayed as Figs. 9 and 10 for the original processing and the reprocessing, respectively, for both the Run-1B, 1- and 0.25-s CWs. These detail how the inputs to the sonar equation generated by the two processing methods compare. To provide a quick overview of how various terms vary with time, Fig. 11 shows the mean grazing angle, 2-way TL, A and SL-adjustment vs. time, as calculated in the reprocessing of the 138°-R-beam BSS's. For this method, valid times are defined as those when the ensonified patch remains within 3 dB of the beams' main-response axes (MRAs).

WE NOW EXAMINE BOTH THE ORIGINAL AND THE REPROCESSED DATA TERM-BY-TERM:

$6.1 \quad RL - NL$

In the sonar equation, the RL computed is really RL – NL, where NL is the Noise Level. However, as the beam-noise levels were negligible relative to the reverberation levels over valid times for the beams considered here, i.e. $RL - NL \approx RL$, we will simply denote RL - NL as RL for the rest of this report. (For both processing schemes, there is a minimum criterion that RLs must be at least 3 dB above the NL for a time to be considered as "valid" for computing BSS's.)

Original processing

The RL-vs.-time curves for the two CW signals are shown in Fig. 12.

Reprocessing

The RL-vs.-time curves for the two CW signals are shown in Fig. 13. As a check, we examined whether their background levels scaled 6 dB as expected given their different widths of integration over frequency (3 Hz vs. 12 Hz). Averaging over pings and over time periods corresponding to ambient conditions (10 to 5 s prior to the first transmission), they indeed did. (This cannot be seen in Fig. 13 as the 1-s-CW RL is still decaying into the noise at 50 s—see the small zero-Doppler component of the noise curve in Fig. 8a, which represents an average over 40 to 50 s after transmit.) We also checked the effect of widening the frequency-integration bands to 8 Hz for the 1-s CW and

to 24 Hz for the 0.25-s CW. In each case, the difference in widening the band of integration resulted in an increase of ~ 0.2 dB. (This adjustment was *not* included in the computed BSS's.)

Comparison

The reprocessed RLs differ considerably from those of the original processing—see Table 3 for representative values. First, for either signal, the absolute RLs derived from the two processing methods do not agree: for the 1-s CWs, $RL_{repro} - RL_{orig} \approx 5.6$ dB; while, for the 0.25-s CWs. $RL_{repro} - RL_{orig} \approx 7.2$ dB. Secondly, by processing scheme, the RLs of the 1-s CWs relative to those of the 0.25-s CWs differ by ~ 11.7 dB in the original processing and by ~ 10 dB in the reprocessing. The latter differences are likely due to how the two processing methods referenced time (relative to ping transmit)—see Section 6.9.

In studying why the absolute levels do not agree, normalization procedures were examined. In the original processing, two weightings were applied: Hamming in the beamforming and Hanning in the CW filter. The direct-path programs assume unity beamforming. So, if weights are not normalized in the beamforming, then a correction must be applied to the RL, e.g., +5.35 dB for Hamming and +6.02 dB for Hanning. However, Sundvik (personal communication, 1996) reports normalization not to be a problem; in fact, a comparison of NRL- and NUWC-derived CST-7 Phase-2 surface scattering strengths revealed virtually identical SSS's and RLs. Thus, The Absolute HF CST-5 Run-1B RL differences remain a Mystery at this time. (The only avenue left unexplored was whether there were differences in RTP-beam calibration values between CST-5 and CST-7 Phase-2.)

6.2 Valid times

The valid times for 138° -R beam used in the reprocessing are indicated as dashed vertical lines in Fig. 11 for the SL-term curve. Fig. 14 shows the reprocessed RL curves for both signals for just these valid times. Fig. 12 shows the valid times—bounded by the chain-dotted vertical lines—used in the original processing. These differences are due to the different criteria used as to what is "valid": in the original processing, all times corresponding to bottom ensonification as long as a +3 SNR was maintained; in the reprocessing, a further restriction was applied, namely that only times where the bottom intersected with ± 3 dB of the source beam pattern's MRA. It is recommended that the times be restricted to those where the source-beam pattern is known with some confidence.

6.3 Finite pulse-length and receiver-resolution adjustments

The reverberation decay rate was sufficiently small that these corrections (Henyey et al. 1996, Gauss 1996) were less than 0.25 dB and thus not a driver for the Run-1B data. (These are included in the newly-computed BSS's.)

6.4 SL

Because the two signals were at different frequencies (as were those in the shallow-water data), it is very important to examine the SLs applied in the original processing, and the impact of using the new mean SL-vs.-frequency curve derived earlier (Fig. 5). It should be noted that the SLs presented in Table 3 are the SLs for the full 20-element array assuming uniform shading—the Hamming and finite beamwidth of the source array are accounted for in the area and "dB-down" terms.

⁷We have some confidence that our calibration is accurate as we have run CST SUS data through the reprocessing stream and derived surface-scatter strengths. These have been shown to be consistent with perturbation theory at low wind speeds and frequencies (Ogden and Erskine 1994).

Original processing

Some inconsistencies arise here as to the intended and actual SLs used. It was stated that the SL at 936 Hz was 2.8 dB less than the (peak) SL at 880 Hz (Reilly, personal communication 1995), and that the representative SL curve from Dubord et al. (1990)—for the source with serial number 224—was used (Reilly, personal communication 1996). The latter suggests the SL at 936 Hz to be ~1.2 less than the SL at 880 Hz—see Fig. 4. However, in either case, it appears that something close to the reverse was implemented in deriving the published results, namely the array SL used at 936 Hz was 2.5 dB greater than that used at 880 Hz—see the headers of the "DP_56.DAT" files used in the two runs (Fig. 9), which states that the uniform SL used for the 1-s CW processing was 223.5 dB, while the SL used for that of the 0.25-s CW was 226.0 dB. It is suggested that this is the major source of the observed PLD. Note this is consistent with the basically grazing-angle independence of the phenomenon.

Reprocessing

As stated earlier, the source-level-vs.-frequency curve was determined to need modification. These newly derived values are the ones listed in Table 3 under reprocessing. Note the relative SLs between 880 and 936 Hz (~0.9 dB) basically match the relative SLs of the source with serial number 224 (~1.2 dB), i.e. (one of) the "intended SLs". (At each of these frequencies, there is still an absolute difference of 0.7 dB between the newly-derived and these intended SLs, reflecting mainly the Seneca-Lake, full-array vs. single-element calibration differences.)

The effects of using different sets of SLs for the two signals will be shown later when BSS curves are derived.

6.5 TL

In both processing methods, the two time-dependent, transmission loss terms are calculated by ray-based propagation codes, and depend primarily on the source-receiver geometry and the SSP.

6.6 A

A is the area returning energy to the receiver over any specified pulse length T. It is a time-dependent (range-dependent) quantity that depends on the signal characteristics (e.g., signal duration [CW] and bandwidth [HFM]); source and receiver beam patterns, tilts, and geometry; and sound speed at the bottom:

$$A \approx \Phi cT/2$$
.

This is a simplified version of what is actually calculated (which includes 3-D source and receiver beam patterns, bistatic geometries, etc.). A couple of notes:

- Φ. Here, the reductions in SL (or "dB down") due to the Hamming weighting (≥5.35 dB) on transmission and for being off the beams' MRAs are applied. Also accounted for here is the increase in area due to the Hamming receiver weighting (a factor of ~1.4, or ~1.5 dB).
- cT. For CW signals, the area scales by the pulse length, T, so that, for example, the area term for a 1-s signal is ~6.0 dB greater than that of a 0.25-s signal. For broadband signals (of bandwidth W), T is effectively replaced by 1/W, the signal's range resolution.

For the reprocessed data, one should combine the "A" and "dB-down" terms listed in the time-interpolation print files (and in Table 3) when computing BSS.

6.7 WA

The original processing combines the TL and A terms into a time-series representation of the (modeled) weighted area,

$$WA \equiv 10\log A - TL_{out} - TL_{back}$$

The WAs vs. time for the two processing programs agree very well (within a dB)—e.g., see Table 3.

$6.8 ext{ SL} + WA$

Fig. 12 displays not only the originally-processed RL vs. time for the two CW signals, but SL+WA as well. To retrieve these values, the printed WAs and SLs from DP_56.DAT and SA_56.INP/OUT files were used—they agree well with (blow-ups of) the curves in this figure, i.e.:

$$SL_{0.25-s} + WA_{0.25-s} \approx SL_{1.0-s} + WA_{1.0-s} - 3$$
.

However, we also know for a given time, that $WA_{0.25-s}+6\approx WA_{1.0-s}$. Hence, upon substitution into the previous equation, we have:

$$SL_{0.25-s} \approx SL_{1.0-s} + 3$$
,

or

$$SL_{936Hz} \approx SL_{880Hz} + 3$$
 .

However, in actuality, $SL_{880Hz} \approx SL_{936Hz} + 1$, so that there is approximately a 4-dB error⁸ in the relative SLs used in deriving the reported CST-5 HF Run 1B BSS's.

For completeness, Figs. 13a and b display the equivalent curves for the reprocessed 1- and 0.25-s CWs, respectively. (As the WAs for the two methods roughly agree and the SLs do not, the SL+WAs naturally disagree basically as much as the SLs disagree—see Table 3.)

6.9 BSS

BSS depends on combining all of the above via the sonar equation and correctly converting BSS as a function of time to BSS as a function of mean grazing angle. An assumed 3-dB multipath—surface-reflected path—contribution was taken out at this stage for both processing methods.

Figs. 15 and 16 show the reprocessed BSS's presented with 3 sets of SLs. Fig. 15a uses the SLs in the original DP_56.DAT files. These generally reproduce Fig. 1a modulo $\sim 6-7$ dB increases due to the revised RLs and, as stated above, restrict the range of valid angles, so that the flatness of the BSS dependence on grazing angle is no longer so assured. Fig. 15b uses the "originally intended" SLs (Reilly, personal communication 1995). Doing so, shows no PLD. Fig. 16 uses the newly-derived SLs (\approx the other intended SLs that were based on the S/N-224 source). Here, while the 1-s-CW BSS is $\sim 1-2$ dB greater than the 0.25-s-CW BSS, the general conclusion would be again, no basis for a PLD.

The sensitivity of the results was studied:

• Subaperture Length. The effect of subaperture length is seen in Fig. 17a, which uses the same input as Fig. 16, except a subaperture of 34 phones was used to form 35 beams (as opposed to 64 phones used to form 65 beams). General agreement between the two is seen. (The original processing used 103 phones to form 65 beams, which overlapped at their 2-dB-down points.)

⁸A 6-dB error assuming the "originally-intended" SLs.

 $^{^9}$ Curious aside: there were differences of ~ 0.15 -0.2 s (for both signals) between the original processing and reprocessing as to what times corresponded to what grazing angles—see Figs. 9 and 10.

• Source Tilt. The effect of source tilt can be seen by comparing Fig. 17a (4-deg tilt) with Fig. 17b (3-deg tilt). Again, there is general agreement in level between the two. However, the 0.25-s CW results display some sensitivity of grazing-angle behavior to source tilt. This suggests that in general whether BSS is described as Lambertian or not could reflect the choice of source tilt, a parameter that is not always known to within a degree. 10

Independent of source-tilt considerations, BSS at low frequencies can often be driven not by scattering from the water-sediment interface (as assumed in the data processing) but from within the subbottom (Holland et al. 1996a). It is believed that these data are so driven (Holland et al. 1996b). In such a case, the "measured" bottom scatter can contain artifacts which are a function of sediment propagation and scattering as well as the experimental geometry. (In particular, plane-wave models—including Lambert's Law—may be inappropriate when subbottom scattering dominates.) For these data then, one should use a geoacoustic model capable of predicting and interpreting the artifacts associated with subbottom scattering. Such an approach applied to the CST-5 Run 1B BSS's is detailed in Holland et al. (1996b).

- Other Look Directions. Figs. 18a and b show the reported BSS's for other receiver beams for the 1-s and 0.25-s CWs, respectively. Fig. 19 shows the corresponding plots for the reprocessed data using the newly-derived SLs. The same general ~5-dB spreads are seen; again, both the absolute levels and the grazing-angle coverage differ between the reported and the reprocessed results. The increases in the reported BSS's with decreasing grazing angle (especially evident in the 1-s data) are primarily due to the inclusion of extra times (15 to 20 s after transmit) as valid, coupled with beam-dependent source-tilt and timing effects. A more direct comparison of the reprocessed 0.25-s and 1.0-s BSS's is presented in Fig. 20 for four different look directions.
- Timing. The effect on BSS of errors in converting time to grazing angle for the CW signals is shown in Fig. 21. Here, to match the original processing, rather than properly referencing time relative to the center of the pulse of duration T, time shifts of −T have been incorporated. (Thus, a bigger effect for a 1-s CW than for a 0.25-s CW.) Comparison with the corresponding curves of Fig. 20 shows that the main differences are for the 1-s CWs: ~1-deg shifts in grazing angle which translate into ~2-dB changes in level in Fig. 1a. Hence, timing errors were the other notable contributor to the reported differences between the 1.0- and 0.25-s CW BSS's.

6.10 Comparison with HFM results from Run 1B

As an additional check, BSS's were derived from the HFM data during the reprocessing. The HFM data results presented in Fig. 22 agree in level with the 138.6°-R CW data results presented in Fig. 16, and again show do not demonstrate a PLD. (In fact, the 1-s HFM BSS's are a couple of dB higher than those of the 2-s HFM, whereas the 1-s CW BSS's are a couple of dB higher than those derived from the 0.25-s CWs. In each case, the lower frequency BSS's are higher.)

¹⁰An ad hoc method to "back out" the source tilt is to overlay the RL curve with a family of SL+WA curves, parameterized by source tilt. Doing so in our case, a source tilt of 3.5° was found to produce the best match.

¹¹The apparent beam dependence of the BSS at higher grazing angles is not due to any actual azimuthal dependence of the environment but due to the principal scattering mechanism (the sediment volume) being different from that assumed in the data processing (i.e. the water-sediment interface). For a general discussion, see Holland et al. (1996a).

6.11 Comparison with Run-2 SUS results

In addition to controlled waveform measurements, impulsive sources were used to collect bottom scattering data. These experiments used SUS charges attached to drag plates, so that the charges detonated (at depths of roughly 530 m) directly under the HFA, yielding a quasi-monostatic geometry. Despite using an omnidirectional source, low-grazing-angle bottom scattering strengths were obtained by using near-endfire beams, formed by an adaptive beamformer designed to suppress sidelobe interference from high-grazing-angle scatter (i.e. allowing "looking past" the fathometer returns, which had previously limited angle coverage to intermediate grazing angles) (Ogden and Erskine 1995).

SUS measurements were interleaved with the Run-1 controlled waveform measurements, with SUS Run 2 conducted immediately prior to Run 1B. For this SUS run, there is reason to believe that for the aft-most beam (160° R) there was little contamination by hybrid paths and that fathometer interference has been eliminated (Ogden, personal communication 1996). The HF BSS's for this beam—Fig. 23—show general agreement with the newly derived BSS's shown in Fig. 22, with the 1-s HFM results directly overlaying the 160°-R beam SUS results.

7. CST-5 RUN 44: IMPLICATIONS OF RUN-1B ANALYSIS

While the Run-44 data were not reprocessed, a simple analysis was performed to explore the possibility that a misapplication of SLs could be responsible for the PLD seen in Fig. 1b. To this end, (blow-ups of) the SL + WA curves from Reilly and Sundvik (1992), Fig. 24, were examined. The result is:

$$SL_{0.05-s} + WA_{0.05-s} \approx SL_{0.1-s} + WA_{0.1-s} + 3$$
.

However, we also know for a given time, that $WA_{0.05-s} + 3 \approx WA_{0.1-s}$. Hence, upon substitution into the previous equation, we have:

$$SL_{0.05-s} \approx SL_{0.1-s} + 6$$
,

Οľ

$$SL_{935Hz} \approx SL_{1004Hz} + 6$$
.

However, in actuality, $SL_{935Hz} \approx SL_{1004Hz} + 2$, so that the 0.05-s BSS's in Fig. 1b need to be raised ≈ 4 dB relative to the 0.1-s BSS's. Doing so, the reported PLD disappears. (Timing errors are unimportant here due to their short durations.)

8. CST-7 PHASE 3: IMPLICATIONS OF CST-5 RUN-44 ANALYSIS

As the CST-7 Phase 3 runs used the same HF waveforms as CST-5 Run 44, it appears the reason PLD was not prominently seen in the reported CST-7 Phase-3 results (e.g., in Run 17—Fig. 2b) was that the *intended* SLs did get used in deriving their BSS's. Given that the reported PLD differences in the CST-7 Phase-3 data results were at most a few dB (when present at all), it is concluded that there is no experimental evidence from these data that support a PLD phenomenon.

9. CONCLUSIONS AND RECOMMENDATIONS

9.1 Summary of results and conclusions

The results of this study have concluded there are two reasons for the reported pulse-length dependence of bottom scattering strengths. *First*, evaluation of the CST-5 Run-1B input parameters used in generating the reported 1-s, 880-Hz CW BSS's revealed an apparent use of a source level that was ~4 dB too low (relative at least to the 0.25-s, 936-Hz CWs). Making this adjustment raises the previously reported 0.25-s CW BSS's by ~4 dB. A similar adjustment (~4 dB) appears

to be required with the 0.1-s and 0.05-s CW data of CST-5 Run 44. Second, there was an additional ~2-dB difference between the 1-s and the 0.25-s CW Run-1B BSS's due to an error in how time was converted to grazing angle in the original processing. Hence, as the reported PLDs for other CST cases were at most a few dB (when present at all), these corrections basically nullify the reported pulse-length dependence of bottom scattering strengths. These effectively time-independent adjustments are consistent with the observed grazing-angle independence and help understand why the phenomenon was primarily observed only in the highest CST frequency band.

A secondary issue resolved is the large difference in the range of valid times (grazing angles) as calculated by the two processing methods. This was due to differences in source-beam-pattern assumptions. Restricting the range of valid times could obviate some of the "flatness" trend in grazing angle (re Lambert) reported for the deep-water, coherent-pulse BSS's. It is recommended that the times be restricted to those where the source-beam pattern is known with some confidence.

A beam-dependent sensitivity of the grazing-angle behavior of the CST-5 BSS's to the assumed source tilt of 4 deg was noted. Hence, in general, experimentalists should make some effort to acquire accurate knowledge of source and receiver geometries (and include error bars on their results that reflect the range to which their sonar-equation analysis parameters—such as source tilt—are known). Furthermore, in this particular case, the subbottom dominates the scattering process (and not the water-sediment interface as assumed in both the original and the reprocessing). In such cases where the subbottom dominates the scattering process, a geoacoustic model that accounts for subbottom propagation and scattering as well as the experimental geometry is required to predict and interpret the data results.

A still unresolved issue is why the reprocessed absolute Run-1B RLs were 5.5-7 dB higher in general than those of the originally reported RLs. There is no explanation for this at the moment. If there is a systematic calibration error, this could potentially affect the reported LF and MF BSS's as well.

These results are consistent with theoretical expectations of a pulse-length independence of scattering strengths (whenever the frequency dependence of the scattering strengths can be neglected). Further experimental evidence was presented showing that the bottom scattering strengths derived from coherent and incoherent source data were identical within measurement uncertainties. Other statistics can depend on the waveform, but the scattering strength cannot (Thorsos et al. 1996). (Waveform statistics will generally only be the same if the scattering process is Gaussian.)

9.2 Recommendations

Due to near-field effects, apparent PLD effects can be observed in acoustic field data: longer pulses and greater decay rates both can bias scattering strengths upward when estimated with the standard algorithm. However, straightforward formulas have been developed to correct for these biases—see the Appendix. It should be noted that these corrections do not require any knowledge of the subbottom or the system used to collect the data. To support prediction capabilities for active systems, procedures should be identified for realizing such corrections for apparent pulse-length dependent effects at the operational level, "automatically" if possible (maybe only in the next generation of a particular system). At a minimum, operators should become cognizant of the phenomena. Two such procedures include either:

Simulating (apparent) pulse-length effects when generating model curves for prediction or comparison with data curves (e.g., running a "T-second" window over the predicted RL curve of an impulsive signal),

Correcting the data curves and then comparing them with model predictions of impulsive signals (e.g., to invert for bottom parameters).

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				Transmission						
			1		f_c	T	W	SL_{eler}	$_{n}$ (dB)	
CST	Run	Descrip	Band	Waveform	(Hz)	(sec)	(Hz)	Intended		Revised
5	1 B	Deep	HF	CW	880	1.0	< 1 >	203.5	197.5	202.8
		_		CW	936	0.25	< 4 >	202.3 or 200.7	200.0	201.9
				HFM	890	1.0	20			202.8
				HFM	950	2.0	100			201.4
5	44	Shallow	HF	CW	1004	0.10	< 10 >			199.8
				CW	935	0.05	< 20 >	202.3		201.9
									····	
7 P3		NS,C,SS	HF	CW	1004	0.10	< 10 >			199.8
		SS		CW	935	0.05	< 20 >	202.3		201.9
		NS,C,SS		HFM	935	0.25	70			201.9
		NS,C,SS		HFM	960	0.25	120			201.2
		NS,S,C.SS	MF	CW	380	0.05	< 20 >			204.2
		NS,S,C.SS		CW	412	0.10	< 10 >			206.2
		S,C,SS		HFM	380	0.25	70			205.7
		NS,S,C,SS	LF	CW	247	0.08	< 12.5 >			
	<u> </u>	NS,S	<u></u>	HFM	250	0.25	60			
								ope (13-LF/MF		
<u> </u>		C = Canyon	on (17-	-HF,21-LF/	MF),	22 =	South She	lf (17-LF/MF/	Hr)	
8	C10		LF	CW		0.10	< 10 >			
				CW		0.25	< 4 >			
				HFM		0.25	66			
8	C13		HF	CW		0.10	< 10 >			
			LF	CW		0.10	< 10 >			
				CW		0.25	< 4 >			
				HFM		0.25	66			
8	C18		HF	CW		0.25	< 4 >			
			LF	CW		0.10	< 10 >			
L				CW		0.25	< 4 >			

Table 1 – Summary of transmission parameters: selected CST-5 through CST-8 Runs. Mean individual element source levels (dB re 1 μ Pa @ 1 m) are shown. (Bandwidths for the CWs represent their 4-dB-down spreads about f_c .)

		Source					Receiver	Beam	former	S-R sep.	
1		Elements	Steering	Tilt	Shading	Depth	Depth	No. of	Phones	(Hor. proj.)	XBT
Run	Processing	used	(deg)	(deg)		(m)	(m)	Beams	used	(m)	Cast
1B	Original	1-20	down 25	4	Hamming	130	138	64	22-124	880.08	1009
	Reprocessing	1-20	down 25	4	Hamming	130	138	65	22-85	880	1009

Table 2 – Summary of parameters used in original and reprocessing of the HF CST-5 data.

The receiver beams had Hamming weighting. Ship speed assumed to be 2.9 kts.

CW Dur	Time			Mean	2-way	A	dB	WA = A +	Unif.		BSS
(s)	wrt xmit	Proc.	RL	Gr Ang	TL	(dB)	down	dB _{dn} - TL	SL	SL + WA	RL - SL -WA -3.2
1.0	12.5	Original	80.5	17.0				-104.2	223.5	119.3	-42.0
		Reprocess	85.5	17.3	159.2	60.7	-5.9	-104.4	228.8	124.4	-42.1
	13.0	Original	79.8	16.1				-104.7	223.5	118.8	-42.2
		Reprocess	83.8	16.4	159.8	60.8	-6.0	-105.0	228.8	123.8	-43.2
	13.5	Original	78.3	15.2				-104.6	223.5	118.9	-43.8
		Reprocess	82.6	15.5	160.5	61.0	-6.2	-105.7	228.8	123.1	-43.7
	14.0	Original	75.8	14.4				-106.6	223.5	116.9	-44.3
		Reprocess	82.1	14.7	161.1	61.1	-6.6	-106.6	228.8	122.2	-43.3
	14.5	Original	74.9	13.6				-108.2	223.5	115.3	-43.6
		Reprocess	82.4	13.9	161.7	61.2	-7.1	-107.6	228.8	121.2	-42.0
	15.0	Original	74.8	13.0				-108.9	223.5	114.6	-43.0
		Reprocess	81.1	13.3	162.2	61.3	-7.6	-108.5	228.8	120.3	-42.4
											RL - SL -WA -3.1
0.25	12.5	Original	69.7	17.0				-109.3	226.0	116.7	-50.1
		Reprocess	77.4	17.3	159.1	54.4	-5.9	-110.6	227.9	117.3	-43.0
	13.0	Original	66.9	16.1	i			-110.3	226.0	115.7	-51.9
		Reprocess	74.7	16.4	159.8	54.5	-6.0	-111.3	227.9	116.6	-45.0
	13.5	Original	66.9	15.2				-111.0	226.0	115.0	-51.2
		Reprocess	72.8	15.6	160.4	54.7	-6.2	-111.9	227.9	116.0	-46.3
	14.0	Original	64.2	14.4				-113.1	226.0	112.9	-51.8
		Reprocess	73.6	14.8	161.0	54.8	-6.7	-112.9	227.9	115.0	-44.5
	14.5	Original	63.2	13.6				-113.1	226.0	112.9	-52.8
		Reprocess	71.1	14.0	161.6	54.9	-7.2	-113.9	227.9	114.0	-46.0
	15.0	Original	62.7	12.8				-114.8	226.0	111.2	-51.6
		Reprocess	68.2	13.3	162.2	55.0	-7.8	-115.0	227.9	112.9	-47.8

Table 3 – The sonar equation term-by-term for the original processing and the re-processing. The BSS values—here indexed to the time (with respect to start of transmission) and *not* to the grazing angle—are only for processing-comparison purposes.

APPENDIX: NONSTATIONARITY CORRECTION FORMULA

A by-product of the CST direct-path waveform scattering strength analyses was a new understanding (and procedure) for correcting for near-field effects in direct-path measurements when using non-impulsive signals (Gauss et al. 1995; Henyey et al. 1995, 1996). Longer pulses and greater decay rates can both bias calculations towards earlier times where the scattering strength and grazing angle are higher and transmission loss is lower. Exploiting the fact that the reverberation level as a function of time generally obeys (locally) a power law—and assuming the timing is with respect to the center of the pulse—a careful (but straightforward) analysis can correct for these apparent pulse-length-dependent effects:

$$\Delta RL(t) \; (dB) = -10 \log \left[\frac{10^{\left(1 + \frac{b(t)T_S}{20}\right)} - 10^{\left(1 - \frac{b(t)T_S}{20}\right)}}{b(t)T_S \ln 10} \right] - 10 \log \left[\frac{10^{\left(1 + \frac{b(t)T_P}{20}\right)} - 10^{\left(1 - \frac{b(t)T_P}{20}\right)}}{b(t)T_P \ln 10} \right],$$

where b(t) is the local slope of the reverberation decay curve RL(t) in dB/s, T_S = pulse duration and T_P = processing resolution. These corrections are to be added to the RL(t) curves (i.e. $RL_{true}(t) = RL_{observed}(t) + \Delta RL(t)$) prior to sonar-equation analysis. Generally, for a CW, $T_S = T_P$ = pulse duration, and for a broadband waveform (matched filter output), $T_S = T_P = 1$./signal_bandwidth. Hence, the corrections are mainly important in CW analysis. (For an impulsive signal using a T_P -s processing window, there is just a 10log correction.) Fig. 25 presents ΔRL -vs.-bT curves, with examples of the magnitude of the corrections for CWs given in Table 4:

Near-field $RL(t)$ Corrections for CWs								
Signal Duration	Signal Duration Reverberation Decay Rate							
(s)	5 dB/s	10 dB/s	15 dB/s					
0.05	0	0	-0.01					
0.25	-0.03	-0.12	-0.27					
0.5	-0.12	-0.47	-1.05					
1.0	-0.47	-1.84	-3.95					
1.5	-1.1	-3.95	-8.16					
2.0	-1.84	-6.65	-13.20					
2.5	-2.81	-9.77	-18.77					

Table 4 – Example near-field corrections for CW signals. Assumes processing window is matched to the signal duration $(T_S = T_P)$.

Applying such corrections, excellent agreement was obtained between SUS and waveform (CW, LFM) surface-scattering results for signal durations between 0.05 and 2.4 s (Gauss *et al.* 1995, Ogden and Gauss 1996). (Analogous corrections are required for spectral spreading—see Gauss, Fialkowski and Soukup 1993.)

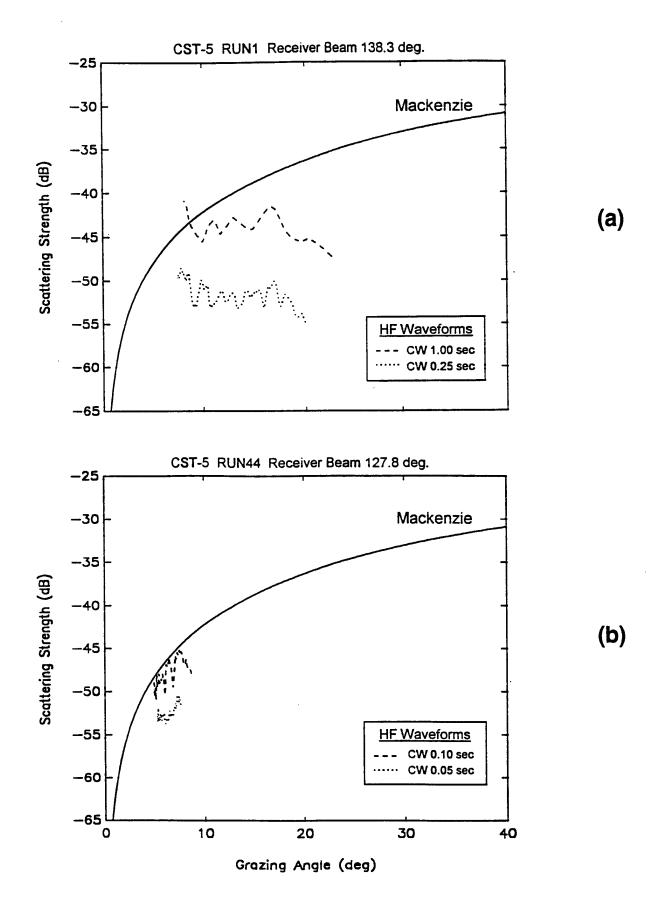


Fig. 1 – Previously published CST-5 **HF** Bottom Scattering Strengths: (a) Run 1B (deep water) (b) Run 44 (shallow water). (From Reilly et al. 1995a.)

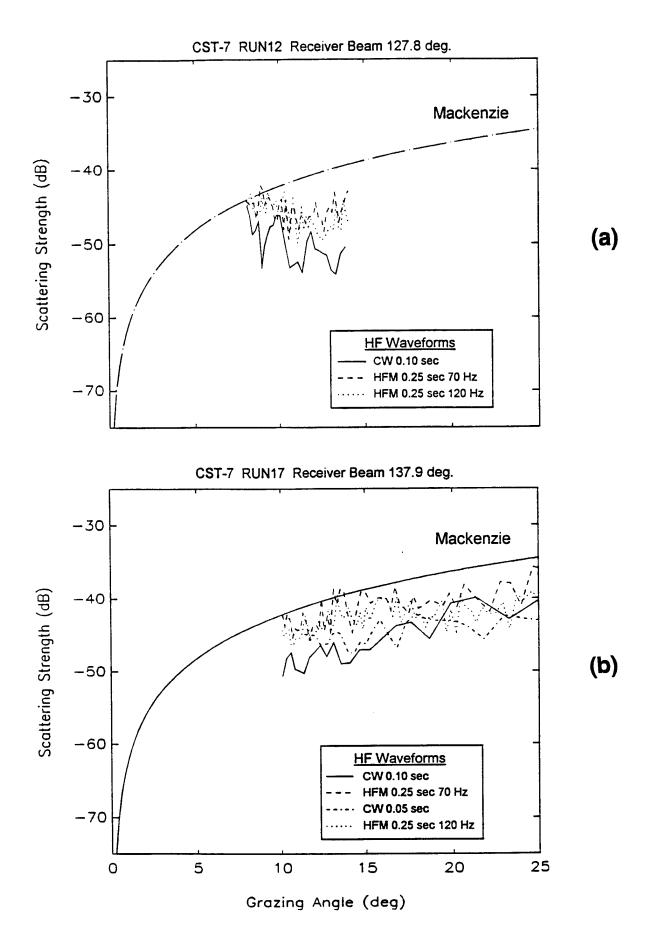


Fig. 2 - Previously published CST-7 Phase-3 **HF** Bottom Scattering Strengths: (a) Run 12 (shallow water—north shelf) (b) Run 17 (shallow water—south shelf). (From Reilly et al. 1995a.)

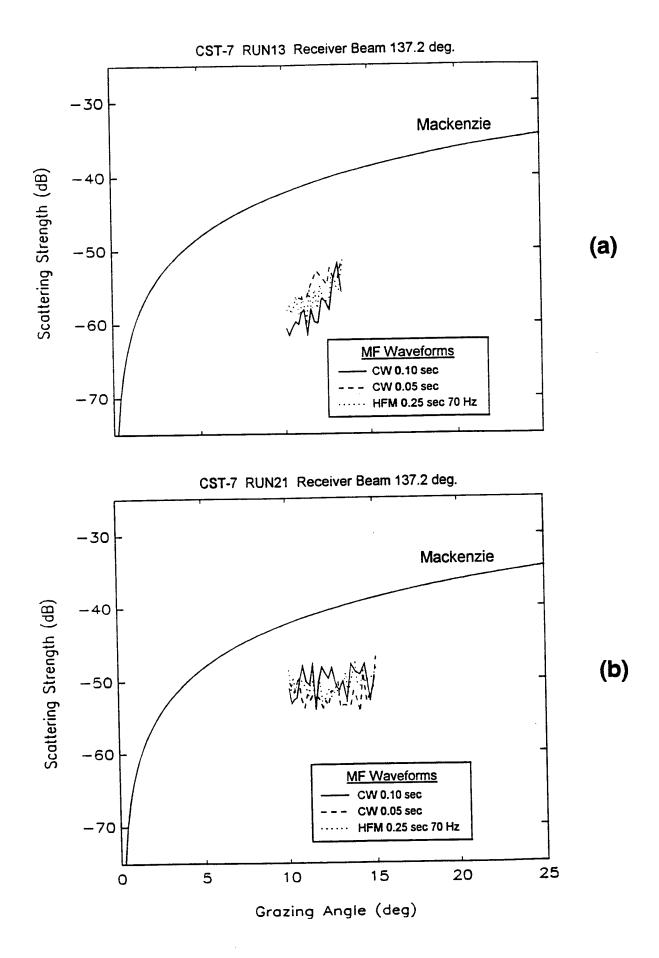


Fig. 3 – Previously published CST-7 Phase-3 MF Bottom Scattering Strengths: (a) Run 13 (shallow water—slope) (b) Run 21 (shallow water—canyon). (From Reilly et al. 1995a.)

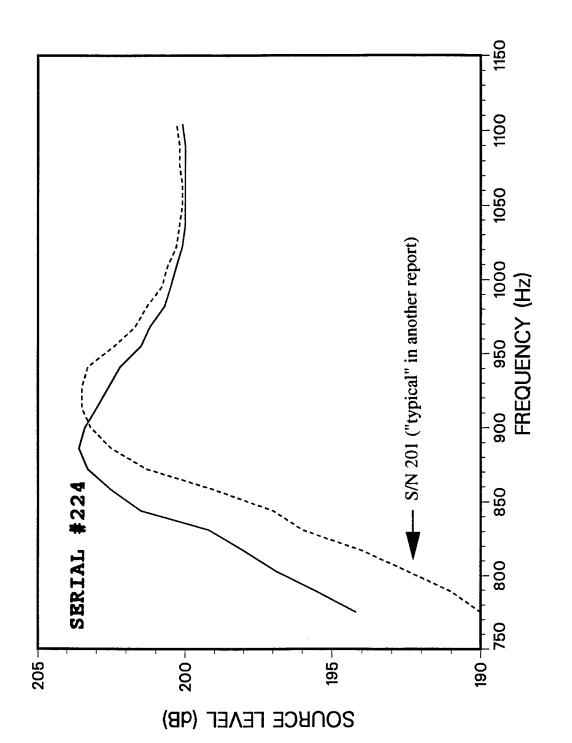


Fig. 4 - HF single-element source level vs. frequency curves: previously-assumed, "representative" curves. The solid curve was used in deriving the previously-published HF BSS's. (Derived from Dubord et al. 1990.)

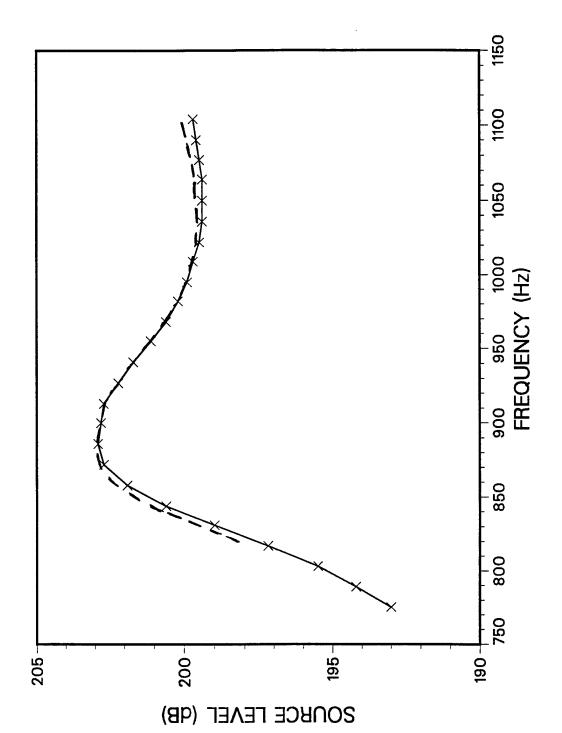


Fig. 5 - HF single-element source level vs. frequency: the solid curve represents the (linear) average of individual element responses collected during a Seneca-Lake calibration experiment; and the dashed curve measured data fitted to the peak of the solid curve. The solid curve includes a subtraction of 0.8 dB from the average to reflect the degradation measured at Seneca Lake when using an array of N elements compared to the single-element levels + 20 log N.

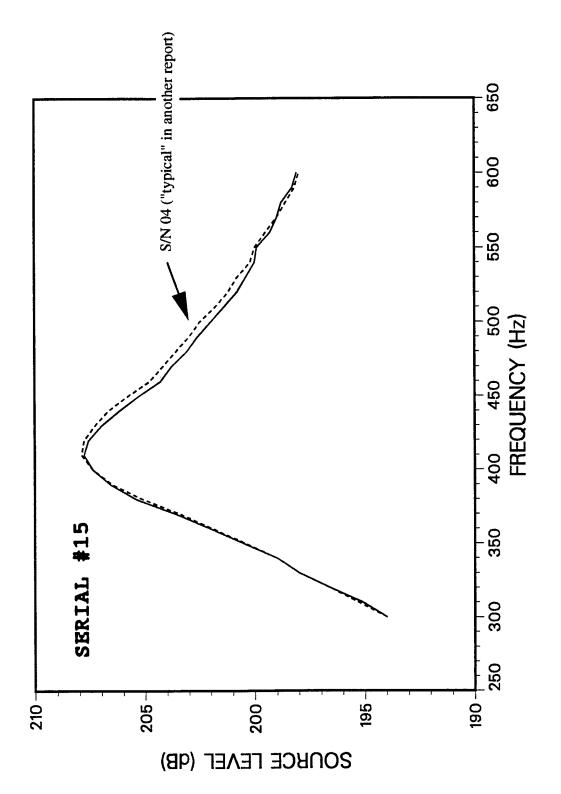
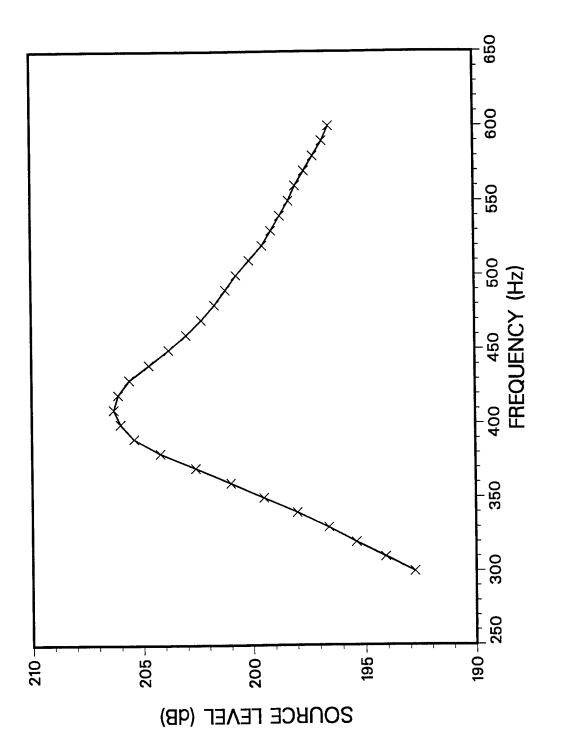


Fig. 6 – MF single-element source level vs. frequency curves: previously-assumed, "representative" curves. The solid curve was used in deriving the previously-published MF BSS's. (Derived from Dubord et al. 1990.)



of individual element responses collected during a Seneca-Lake calibration experiment. The curve includes a subtraction of 1.6 dB from the average to reflect the degradation measured at Seneca Fig. 7 - MF single-element source level vs. frequency: the curve represents the (linear) average Lake when using an array of N elements compared to the single-element levels + 20 log N.

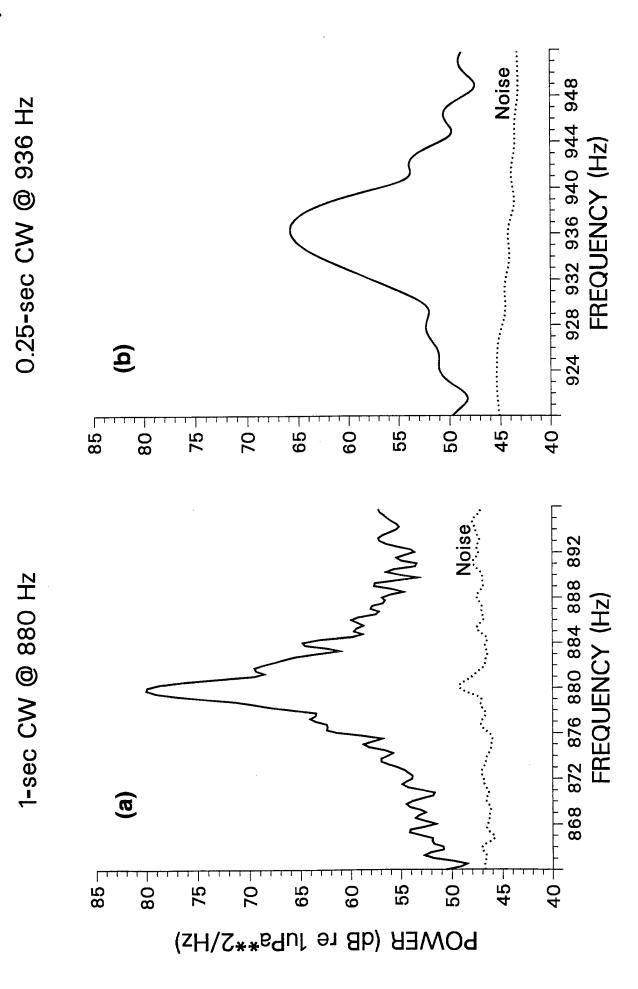


Fig. 8 - Representative CST-5 Run 1B spectra for the (a) 1-s, 880-Hz and (b) 0.25-s 936-Hz CWs at times corresponding to valid bottom backscatter (solid curves) and to background returns (dashed curves).

CST-5 Run 1B NAS Parameter Listing

```
CST NUMBER = 5
RUN NUMBER = 1
SEGMENT LETTER = H
JULIAN DAY = 161
BACKSCATTER TARGET = BOTTOM
LOCATION DESCRIPTION = IONIAN BASIN
INITIAL PING TIME = 02:15:04.28
START TIME SERIES = 0.58
STOP TIME SERIES = 20.58
ENSEMBLE AVERAGES = 12
PING REPETITION RATE = 120.
BOTTOM DEPTH = 11174. FT
                                                                                         1-s CW
TRANSMIT WAVETRAIN = WTBS04
SOURCE DEPTH = 426. FT
SOURCE WEIGHTING = HAMMING
SOURCE TILT = 4.0
SOURCE STEERING ANGLE = -25.0
SOURCE/RECEIVER SEPARATION = 0.8808
RECEIVER TYPE = HFAVH
RECEIVER DEPTH = 452. FT
RECEIVER WEIGHTING = HAMMING
BEAMFORMED PHONE START = 22
CONSECUTIVE CHANNELS BEAMFORMED = 108 [Error: Must mean 103] BEAM SPACING FILE = BEAM$:COS64.DAT BEAMFORMER SCALE FACTOR = 21380.
RTP INPUT SAMPLE RATE = 4096
REAL OUTPUT SAMPLE RATE = 512
RV1 SPEED (KTS) = 2.9
RV1 HEADING = 303.
RV1 COMEX LOCATION = 36.2733 N 17.1698 E
RV1 FINEX LOCATION = 36. N 17. E
ENVELOPE OFFSET = 128
ENVELOPE WINDOW = 512
CST NUMBER = 5
RUN NUMBER = 1
 SEGMENT LETTER = !
 JULIAN DAY = 161
 BACKSCATTER TARGET = BOTTOM
 LOCATION DESCRIPTION = IONIAN BASIN
INITIAL PING TIME = 02:17:06.09
START TIME SERIES = 7.58
STOP TIME SERIES = 27.58
ENSEMBLE AVERAGES = 19
PING REPETITION RATE = 120.
BOTTOM DEPTH = 11174. FT
TRANSMIT WAVETRAIN = WTBS26
                                                                                          0.25-s CW
 SOURCE DEPTH = 426. FT
SOURCE WEIGHTING = HAMMING
SOURCE TILT = 4.

SOURCE STEERING ANGLE = -25.

SOURCE/RECEIVER SEPARATION = 0.8808

RECEIVER TYPE = HFAVH

RECEIVER DEPTH = 452. FT
 RECEIVER WEIGHTING = HAMMING
BEAMFORMED PHONE START = 22
CONSECUTIVE CHANNELS BEAMFORMED = 103
 BEAM SPACING FILE = BEAM$:COS64.DAT
 BEAMFORMER SCALE FACTOR = 21380.
 RTP INPUT SAMPLE RATE = 4096
 REAL OUTPUT SAMPLE RATE = 512
 RV1 SPEED (KTS) = 2.9
 RV1 HEADING = 303.
 RV1 COMEX LOCATION = 36.2733 N 17.1698 E
 RV1 FINEX LOCATION = 36. N 17. E
ENVELOPE OFFSET = 32
ENVELOPE WINDOW = 128
```

Fig. 9 – For the original processing of CST-5 Run 1B data, printouts of various direct-path processing input parameters for both the 1-s and 0.25-s CWs.

CST-5 Run 1B DP 56.DAT File

```
CST RUN # 1H
DATA FILE: DP_56.DAT
NUMBER OF BEAMS = 64, NUMBER OF PINGS ENSEMBLE AVERAGED = 12
                                                                           NOTE: SL value
SOURCE LEVEL = 223.5 DB, START TIME = 161:02:15:04.28 WEIGHTED AREA FILE: SA_56.OUT
 VLA STEERING = -25.00, HLA STEERING = 138.25
 NUMBER OF POINTS = 80 CENTER FREQUENCY = 880.
         BACKSCAT REVERB S. GRAZE I. GRAZE BIANGLE HLA BEAR RVB-STD RVB+STD
 TIME
                                                             -2.569
                                              2.896
                                                       163.
                                                                       1.980
                                     31.488
7.33000 -36.120
                   68.381
                            36.627
                                                             -2.801
                                              3.399
                                                       159.
                                                                       2.115
                                     30.290
 7.58000 -34.611
                   70.073
                            35.011
                                              8.044
                                                       121.
                                                             -3.108
                                                                       2.284
                                     29.919
 7.83000 -34.324
                   71.291
                            32.590
                                                             -3.715
                                                                       2.594
                                              3.129
                                                       159.
                            32.239
                                     28.061
 8.08000 -35.594
                   71.912
                                     27,125
                                              3.827
                                                       153.
                                                             -4.184
                                                                       2.812
 8.33000 -37.279
                            30.911
                   71.551
                                                             -4.551
                                              3.944
                                                       151.
                                                                       2.971
                                     26,201
                            29.723
 8.58000 -41.023
                   70.998
                                                             -3.220
                                                                       2.344
                                              4.051
                                                       149.
 8.83000 -43.461
                                     25.332
                    70.860
                            28.609
                                                       149.
                                                             -2.337
                                                                       1.840
                                     24,485
                                              3.917
                   71.263
                            27.595
 9.08000 -44.843
                                                             -3.299
                                                                       2.385
                                              4.016
                                                       147.
                            26.615
                                     23.714
 9.33000 -45.279
                    71.938
                                                       147.
                                                             -3.429
                                                                       2.452
                                              3.893
 9.58000 -44.729
                    73.806
                            25.721
                                     22.960
                                                             -3.738
                                                                       2.605
                                                       147.
                    75.365
                            24.874
                                     22.241
                                              3.779
 9.83000 -44.420
                                                       147.
                                                             -3.565
                                                                       2.520
                                     21.558
                                              3.671
10.08000 -44.286
                            24.071
                    76.576
                                                             -2.520
                                                                       1.951
                                              3.764
                                                       145.
10.33000 -43.543
                                     20.929
                    78.044
                            23.280
                                              3.664
                                                       145.
                                                             -1.527
                                                                       1.298
                            22.555
                                     20.304
10.58000 -42.987
                    79.006
                                                             -1.752
                                                       145.
                                                                       1.457
                                              3.569
10.83000 -42.501
                    79.710
                            21.861
                                     19.705
                                     19.157
                                                       143.
                                                             -2.498
                                                                       1.938
11.08000 -42.263
                    80.081
                            21.177
                                              3.654
                                                             -3.135
                                                                       2.299
                                              3.565
                                                       143.
                            20.552
                                     18.611
11.33000 -42.591
                    79.898
                                                        143.
                                                             -2.716
                                                                       2.066
                                     18.085
                                              3.480
11.58000 -42.336
                             19.952
                    80.025
                                                        143.
                                                             -2.268
                                                                       1.797
                                     17.578
                                               3.400
                            19.375
11.83000 -42.010
                    79.992
                                              3.323
                                                             -2.313
                                                        143.
                                                                       1.825
                                     17.090
                    80.005
                            18.822
12.08000 -41.287
                                                        143.
                                                             -2.516
                                                                       1.949
                                               3.250
                                     16.621
12.33000 -39.892
                             18.289
                    80.371
                                                        143.
                                                             -2.409
                                                                       1.884
                             17.779
                                               3.180
12.58000 -38.831
                                     16.169
                    80.451
                                                                       2.224
                                               3.259
                                                        141.
                                                             -2.998
                             17.268
                                     15.751
12.83000 -38.495
                    80.214
                                                                       2.598
                                                        143.
                                                              -3.724
                                     15.310
                                               3.050
13.08000 -39.043
                    79.804
                             16.815
                                                                       2.346
                                                             -3.224
                                                        141.
13.33000 -39.748
                    79.239
                            16.338
                                     14.918
                                               3.128
                                                             -3.495
                                                                       2.485
                                               3.067
                                                        141.
13.58000 -40.542
                    78.320
                             15.896
                                     14.521
                                     14.139
                                               3.008
                                                        141.
                                                              -4.158
                                                                       2.800
13.83000 -41.069
                    77.188
                             15.470
                                     13.768
                                               2.951
                                                        141.
                                                              -5.795
                                                                       3.445
                    75.767
                             15.059
14.08000 -41.098
                                                        141.
                                                              -7.466
                                                                       3.955
                                               2.897
                             14.661
                                     13,407
14.33000 -40.795
                    75.132
                                                             -7.749
                                                                       4.029
                                               2.845
                                                        141.
                    74.910
                             14.273
                                     13.055
14.58000 -40.412
                                                             -8.649
                                                                       4.247
                                               2.794
                                                        141.
                                     12.713
                             13.897
14.83000 -40.116
                    74.726
                                                                       3.822
                                                              -6.990
                                                        141.
                                     12.382
                                               2.746
15.08000 -39.707
                    74.845
                             13.533
                                               2.699
                                                        141.
                                                              -5.966
                                                                       3.504
                                     12.060
15.33000 -40.173
                    73.732
                             13.180
                                                        141.
                                                              -4.882
                                                                       3.106
                                               2.654
15.58000 -40.720
                    72.751
                             12.838
                                     11.747
                                                              -3.464
                                                                       2.470
                                                        141.
15.83000 -41.081
                    72.029
                             12.503
                                     11.440
                                               2.610
                                                              -2.966
                                                                       2.207
                    70.851
                             12.178
                                     11.141
                                               2.568
                                                        141.
16.08000 -41.635
            BSS. RL vs. time
                                                                         1-s CW
                                          ORIGINAL PROCESSING
```

Fig. 9 (cont.) – For the original processing of CST–5 Run 1B data, printout of various direct–path processing input parameters and output values as a function of time for the 1–s CWs. Selected times have been chosen from the original file. F9 -2/5

CST-5 Run 1B SA 56.INP File

Bottom A Gene Fil VLA EIG HLA EIG	eric Bo le Boi iRAS	ttom unces 0 0	Surface Bounces 0 0	Beamformer File SRCBP RCVBP	Tilt Angle 4.00 0.00
Start Time 0.580	Stop Time 20.580	Pulse Width 1.000	Pulse W Increme 4		

Distance between arrays in km 0.8808000 Horizontal MRA in degrees 138.2500 Vertical MRA in degrees 25.00000

Time	Effective Area(dB)	HLA Grazing	VLA Grazing	HLA Bearing	Bistatic Angle	
7.330 7.580 7.830 8.080 8.330 8.580 9.080 9.330 9.580 10.080 10.330 11.580 11.580 11.580 12.080 12.330 12.580 12.330 13.580 13.330 14.080 14.330 14.580 14.580 15.580 15.580 15.830 16.080	-118.999 -118.816 -117.885 -115.994 -114.670 -111.479 -109.179 -107.394 -106.283 -104.965 -103.715 -102.638 -101.507 -101.289 -101.156 -101.011 -101.139 -101.498 -101.498 -104.653 -104.638 -104.653 -104.638 -105.243 -106.635 -107.573 -108.658 -108.948 -109.595 -110.029 -110.390 -111.014	36.627 35.011 32.590 32.239 30.911 29.723 28.609 27.595 26.615 25.721 24.874 24.071 23.2555 21.877 20.552 19.375 18.822 19.375 18.822 17.779 17.268 16.338 15.470 15.059 14.661 14.273 13.533 13.180 12.838 12.503 12.178	31.488 30.290 29.919 28.061 27.125 26.201 25.332 24.485 23.714 22.960 22.241 21.558 20.929 20.304 19.705 19.157 18.611 18.085 17.578 17.090 16.169 15.751 15.310 14.139 13.768 13.407 13.1055 12.713 12.382 12.060 11.747 11.440 11.141	163.000 159.000 120.999 158.999 152.999 150.999 148.999 146.999 146.999 146.999 144.999 144.999 144.999 144.999 143.000 143.000 143.000 143.000 143.000 141.000 141.000 141.000 141.000 141.000 141.000 141.000 141.000 141.000 141.000	2.896 3.399 8.044 3.129 3.827 3.944 4.051 3.917 4.016 3.893 3.779 3.671 3.764 3.664 3.569 3.654 3.400 3.323 3.250 3.180 3.259 3.050 3.128 3.050 3.128 2.845 2.794 2.654 2.659 2.654 2.568	
	10/0					

WA vs. time

ORIGINAL PROCESSING

1-s CW

Fig. 9 (cont.) – For the original processing of CST–5 Run 1B data, printout of various direct–path processing input parameters and output values as a function of time for the 1–s CWs. Selected times have been chosen from the original file. F9 -3/5

CST-5 Run 1B DP 56.DAT File

CST RUN # 11 DATA FILE: DP 56.DAT NUMBER OF BEAMS = 64, NUMBER OF PINGS ENSEMBLE AVERAGED = 19 NOTE: SL value SOURCE LEVEL = 226.0 DB, START TIME = 161:02:17:06.09 WEIGHTED AREA FILE: SA_56.OUT VLA STEERING = -25.00, HLA STEERING = 138.25 NUMBER OF POINTS = 320 CENTER FREQUENCY = 935. BACKSCAT REVERB S. GRAZE I. GRAZE BIANGLE HLA BEAR RVB-STD RVB+STD 3.236 -5.4993.341 17.945 16.301 143. 12.45500 -47.773 69.095 3.220 143. -6.5123.680 12.51750 -47.119 69.719 17.815 16.186 3.654 12.58000 -47.027 69.976 3.351 141. -6.42817.668 16.094 17.565 15.963 3.184 143. -5.5793.370 12.64250 -47.132 69.721 3.132 143. -4.9463.167 12.70500 -47.556 69.291 17.443 15.855 15.746 3.151 -4.2472.840 143. 12.76750 -47.899 68.910 17.319 2.703 -3.94512.83000 -47.832 68.580 17.196 15.637 3.134 143. 2.500 143. -3.5243.119 12.89250 -47.587 68.347 17.075 15.528 12.95500 -47.904 16.938 15.442 3.246 141. -3.0302.242 67.661 13.01750 -48.809 15.337 3.229 141. -2.7962.112 66.850 16.819 3.213 -2.9492.197 13.08000 -49.496 66.493 16.702 15.233 141. 141. -3.3222.397 66.004 16.584 15.128 3.196 13.14250 -50.150 13.20500 -49.740 66.317 16.471 15.025 3.180 141. -3.3292.401 2.335 141. -3.20313.26750 -48.817 66.743 16.358 14.923 3.163 -3.7232.598 16.266 3.008 143. 14.807 13.33000 -48.317 66.772 13.39250 -48.359 66.936 14.705 2.994 143. -3.771 2.621 16.152 -3.4392.457 14.624 3.117 141. 13.45500 -47.947 67.053 16.022 13.51750 -48.016 14.523 -3.4092.442 66.852 15.912 3.100 141. 2.504 -3.53213.58000 -48.306 66.419 15.823 14.410 2.948 143. 3.071 141. -3.9382.700 14.329 15.696 13.64250 -48.141 66.016 13.70500 -48.446 65.874 15.588 14.234 3.056 141. -4.5252.960 -4.5612.975 3.041 141. 13.76750 -48.756 65.710 15.480 14.136 -4.8603.098 13.83000 -48.669 14.042 3.026 141. 65.487 15.377 -5.79013.89250 -48.471 13.948 3.012 141. 3.443 65.252 15.272 13.95500 -48.735 13.856 2.998 141. -6.0963.547 64.493 15.170 14.01750 -48.612 13.763 2.983 141. -5.5213.349 64.238 15.066 -4.364 2.969 141. 2.891 14.08000 -48.104 64.541 14.964 13.671 2.955 141. -4.3142.869 14.14250 -48.186 14.863 13.578 64.319 14.764 2.942 141. -4.6162.998 14.20500 -48.184 64.223 13.489 -4.9913.150 14.26750 -48.181 64.210 14.663 13.398 2.928 141. -5.294 141. 3.265 14.33000 -48.867 14.565 13.310 2.914 63.873 14.39250 -49.405 63.637 14.465 13.220 2.901 141. -5.4233.313 -6.4413.658 14.45500 -49.721 63.372 14.370 13.132 2.888 141. 2.874 141. -6.6263.715 14.274 13.045 63.248 14.51750 -49.656 12.959 2.861 141. -6.6263.714 14.58000 -49.740 62.981 14.179 -6.0853.543 141. 14.64250 -49.810 62.725 14.082 12.872 2.848 -4.8063.076 14.70500 -49.969 62.347 13.988 12.787 2.836 141. 61.935 13.895 12,701 2.823 141. -3.8242.646 14.76750 -50.191 -4.3862.901 61.833 12.618 2.811 141. 14.83000 -49.974 13.804 -4.9623.138 14.89250 -49.354 62.121 13.710 12.533 2.798 141. -6.1063.550 14.95500 -48.672 62.580 13.619 12.451 2.786 141. 141. -6.8143.771 15.01750 -48.509 62.712 12.367 2.774 13.527 15.08000 -48.294 62.650 13.439 12.286 2.761 141. -7.9004.068 BSS, RL vs. time ORIGINAL PROCESSING 0.25-s CW

Fig. 9 (cont.) – For the original processing of CST–5 Run 1B data, printout of various direct–path processing input parameters and output values as a function of time for the 0.25–s CWs. Selected times have been chosen from the original file.

F9 – 4/5

CST-5 Run 1B SA 56.OUT File

Botto	ene	ric	Bottom	Surface	Beamformer	Tilt
	File)	Bounces	Bounces	File	Angle
VLA	EIGF	RAS	0	0	SRCBP	4.00
HLA		–	_	Ö	RCVBP	0.00
Sta		Sto				

Time Time Width Increments 0.580 20.580 0.250 4

Distance between arrays in km Horizontal MRA in degrees 138.2500 Vertical MRA in degrees 25.00000

Time	Effective Area(dB)	HLA Grazing	VLA Grazing	HLA Bearing	Bistatic Angle
12.455 12.517	-109.132 -109.163	17.945 17.816	16.301 16.187	143.000 142.999	3. 23 6 3. 219
12.580	-108.997	17.668	16.094	141.000	3.351
12.642	-109.147	17.566	15.964	143.000	3.184
12.705	-109.153	17.443	15. 8 55 15. 74 7	143.000 143.000	3. 167 3. 15 1
12.767 12.830	-109.188 -109.588	17.320 17.196	15.747	143.000	3.134
12.892	-110.063	17.076	15.529	142.999	3.118
12.955	-110.435	16.938	15.442	141.000	3.246
13.017	-110.344 -110.011	16.820 16.702	15.338 15. 23 3	141.000 141.000	3. 229 3. 213
13.080 13.142	-110.011	16.702	15.129	141.000	3.196
13.205	-109.943	16.471	15.025	141.000	3.180
13.267	-110.436	16.359	14.924	141.000	3.164
13.330	-110.911	16.266	14.807	143.000 143.000	3.008 2. 993
13.392 13.455	-110.703 -111.000	16.153 16.022	14.706 14. 62 4	143.000	3.117
13.517	-111.131	15.913	14.524	141.000	3.101
13.580	-111.275	15.823	14.410	143.000	2.948
13.642	-111.844	15.697	14.330	141.000	3.071
13.705 13.767	-111.680 -111.532	15.588 15.481	14.234 14.137	141.000 141.000	3. 056 3. 041
13.767	-111.844	15.461	14.137	141.000	3.026
13.892	-112.273	15.273	13.949	141.000	3.012
13.955	-112.772	15.170	13.856	141.000	2.998
14.017	-113.149	15.067	13.764 13.671	141.000 141.000	2. 983 2. 96 9
14.080 14.142	-113.355 -113.494	14.964 14.864	13.579	141.000	2.955
14.205	-113.593	14.764	13.489	141.000	2.942
14.267	-113.611	14.664	13.399	141.000	2.928
14.330	-113.260	14.565	13.310	141.000	2.914
14.392 14.455	-112.959 -112.907	14. 4 66 14. 3 70	13.221 13.132	141.000 141.000	2.901 2.888
14.455	-113.095	14.275	13.046	141.000	2.874
14.580	-113.279	14.179	12.959	141.000	2.861
14.642	-113.463	14.083	12.873	141.000	2.848
14.705	-113.684	13.988	12.787 12.702	141.000 141.000	2. 83 6 2. 823
14.767 14.830	-113.871 -114.193	13.896 13.804	12.702	141.000	2.823
14.892	-114.523	13.711	12.534	141.000	2.798
14.955	-114.748	13.619	12.451	141.000	2.786
15.017	-114.777	13.528	12.368	141.000	2.774 2.761
15.080	-115.056	13.439	12.286	141.000	2.701
	A				

WA vs. time

ORIGINAL PROCESSING

0.25-s CW

Fig. 9 (cont.) – For the original processing of CST–5 Run 1B data, printout of various direct–path processing input parameters and output values as a function of time for the 0.25–s CWs. Selected times have been chosen from the original file.

F9 - 5/5

TIME INTERPOLATION PRINT FILE FOR PROGRAM DP_MATCH_RAYS_BEAM

```
EXPERIMENT: CST5 PING SET RUN HF EPOCH 1
SOURCE DEPTH
                  130.00 m
RECEIVER DEPTH =
                 138.00 m
BOTTOM DEPTH = 3440.00 m
SOURCE-RECEIVER SEPARATION OF -880.0 m = -0.5 n mi
(negative if geometry is ship:source:receiver)
           4.00 deg
TILT
            0.00 deg
KITE
STEERANGLE
             = -25.00 \text{ deg}
TIME RESOLUTION =
                   0.10 sec
ANALYSIS TIME
                =
                   1.00 sec
PING DURATION
                   1.00 sec
BEAM NO. 8 BEAM ANGLE = 138.6 deg.
(0 deg is toward ship, 90 deg is broadside.)
                                   GRAZ-ANG MEAN BIST
                                                               DB
          RANGE
                                    SRC RCV ANG ANG AREA DN
        SRC
                RCV
                       ALPHA
 TIME
                               (dB) (deg) (deg) (deg) (dB)
  (S)
         (M)
                 (M)
                       (DEG)
  7.35 5007.0 4146.5 166.7 –150.0 31.9 37.3 34.6 6.7
                                                        62.3 - 20.0
                                                        61.3 - 20.0
  7.55 5192.8 4345.0 163.0 -150.5 30.9 35.9 33.4 6.4
       5468.1 4636.7 159.2 -151.1 29.5 34.0 31.8 6.1
                                                        60.6 -20.0
  7.86
                                                        60.4 - 20.0
  8.06 5649.5 4827.6 157.3 -151.6 28.6 32.9 30.8 5.9
                                                        60.2 -20.0
  8.36 5919.1 5109.7 155.0 -152.2 27.4 31.3 29.4 5.6
  8.57 6097.1 5295.2 153.8 -152.6 26.6 30.3 28.5 5.5
                                                        60.1 –20.0
  8.87 6362.1 5570.1 152.3 -153.2 25.5 29.0 27.2 5.2
                                                        60.0 - 20.0
  9.07 6537.5 5751.2 151.4 -153.6 24.8 28.1 26.5 5.1
                                                        60.0 - 19.3
  9.38 6798.9 6020.6 150.3 -154.2 23.9 26.9 25.4 4.9
                                                        60.0 - 16.3
  9.58 6972.0 6198.6 149.6 -154.6 23.3 26.1 24.7 4.8
                                                        60.0 -14.5
  9.79 7144.4 6375.4 149.0 -154.9 22.7 25.4 24.0 4.7
                                                        60.1 - 13.0
                                                        60.1 - 11.1
 10.09 7401.6 6638.7 148.3 -155.5 21.8 24.4 23.1 4.5
 10.29 7572.3 6813.1 147.8 -155.8 21.3 23.8 22.5 4.4
                                                        60.1 - 10.1
 10.60 7827.2 7073.1 147.2 -156.3 20.5 22.9 21.7 4.3
                                                         60.2 - 8.9
                                                         60.3 - 8.2
 10.80 7996.5 7245.4 146.8 -156.6 20.0 22.3 21.2 4.2
 11.11 8249.4 7502.7 146.3 -157.1 19.3 21.4 20.4 4.0
                                                         60.3 - 7.4
 11.31 8417.4 7673.3 146.0 -157.4 18.9 20.9 19.9 4.0
                                                         60.4 - 7.0
 11.61 8668.7 7928.4 145.6 –157.9 18.2 20.2 19.2
                                                    3.9
                                                         60.5 - 6.5
                                                         60.5 - 6.3
 11.82 8835.7 8097.6 145.4 –158.2 17.8 19.7 18.8
 12.12 9085.5 8350.6 145.0 -158.6 17.2 19.0 18.1
                                                    3.7
                                                         60.6 - 6.1
 12.32 9251.6 8518.7 144.8 -158.9 16.8 18.6 17.7
                                                    3.6
                                                         60.6 - 6.0
 12.53 9417.4 8686.4 144.6 -159.2 16.5 18.1 17.3
                                                    3.5
                                                         60.7 - 5.9
                                                         60.8 - 5.9
 12.83 9665.5 8937.1 144.3 –159.6 15.9 17.5 16.7
 13.04 9830.5 9103.8 144.1 -159.8 15.6 17.1 16.4 3.4
                                                         60.8 - 6.0
 13.3410077.6 9353.2 143.9 –160.2 15.1 16.6 15.8
                                                    3.3
                                                         60.9 - 6.1
                                                    3.3
                                                         61.0 - 6.2
 13.5410242.1 9519.1 143.8 -160.5 14.8 16.2 15.5
 13.8510488.2 9767.2 143.6 -160.9 14.3 15.7 15.0
                                                    3.2
                                                         61.0 - 6.4
 14.0510652.0 9932.4 143.4 -161.1 14.0 15.3 14.7
                                                    3.1
                                                         61.1 - 6.6
 14.3610897.310179.4 143.2 -161.5 13.5 14.8 14.2
                                                         61.2 - 6.9
                                                    3.0
 14.5611060.510343.9 143.1 -161.7 13.3 14.5 13.9
                                                         61.2 - 7.1
 14.8611305.210590.2 143.0 -162.1 12.8 14.1 13.4
                                                    3.0
                                                         61.3 - 7.4
 15.0711468.010754.0 142.9 -162.3 12.6 13.8 13.2 2.9
                                                         61.4 - 7.7
 15.3711712.010999.5 142.7 -162.6 12.2 13.3 12.7 2.8
                                                         61.4 - 8.1
 15.5711874.411162.8 142.7 -162.9 11.9 13.0 12.5 2.8
                                                         61.5 - 8.3
 15.7812036.711326.0 142.6 -163.1 11.7 12.8 12.2 2.8
                                                         61.5 - 8.6
 16.0812279.911570.5 142.5 -163.4 11.3 12.4 11.8 2.7
                                                         61.6 - 9.0
```

REPROCESSING

1-s CW

Fig. 10 – For the reprocessing of CST-5 Run 1B data, printout of various direct-path processing input parameters and output values as a function of time for the 1-s CWs. Selected times have been chosen from the original files.

TIME INTERPOLATION PRINT FILE FOR PROGRAM DP_MATCH_RAYS_BEAM

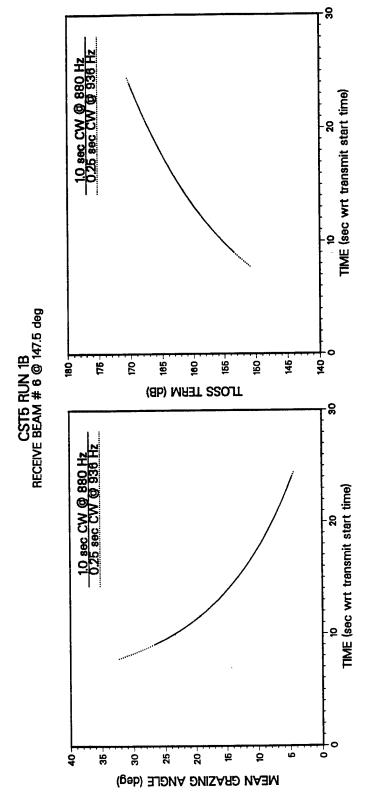
EXPERIMENT: CST5 PING SET RUN HF EPOCH 1

```
SOURCE DEPTH = 130.00 m
RECEIVER DEPTH = 138.00 m
BOTTOM DEPTH = 3440.00 \text{ m}
SOURCE-RECEIVER SEPARATION OF -880.0 m = -0.5 n mi
(negative if geometry is ship:source:receiver)
           4.00 deg
TILT
KITE
           0.00 deg
STEERANGLE
            = -25.00 \deg
TIME RESOLUTION = 0.10 sec
ANALYSIS TIME
                  0.25 sec
PING DURATION
               = 0.25 sec
BEAM NO. 8 BEAM ANGLE = 138.6 deg.
(0 deg is toward ship, 90 deg is broadside.)
                                                                DB
                                    GRAZ-ANG MEAN BIST
          RANGE
                                                               DN
                                                         AREA
                                TL
                                    SRC RCV ANG ANG
                 RCV
                       ALPHA
 TIME
        SRC
                                                         (dB)
                                                    (deg)
         (M)
                       (DEG)
                               (dB)
                                    (deg)
                                          (deg) (deg)
  (S)
                 (M)
       9333.6 8601.6 144.7 -159.0 16.7 18.4 17.5
                                                    3.6
                                                         54.4 - 6.0
                                                         54.4 -5.9
12.52 9413.3 8682.2 144.6 -159.1 16.5 18.2 17.3
                                                    3.5
12.62 9492.8 8762.6 144.5 -159.3 16.3 18.0 17.1
                                                    3.5
                                                         54.4 - 5.9
12.72 9572.3 8843.0 144.4 -159.4 16.1 17.8 16.9
                                                    3.5
                                                         54.5 - 5.9
12.82 9651.8 8923.3 144.3 -159.5 16.0 17.6 16.8
                                                    3.5
                                                         54.5 -5.9
12.91 9731.3 9003.5 144.2 -159.7 15.8 17.4 16.6
                                                    3.4
                                                         54.5
                                                              -6.0
13.01 9810.5 9083.6 144.2 –159.8 15.6 17.2 16.4
                                                    3.4
                                                         54.5 -6.0
13.11 9889.8 9163.6 144.1 -159.9 15.5 17.0 16.2
                                                         54.6 - 6.0
 13.21 9969.1 9243.7 144.0 -160.1 15.3 16.8 16.1
                                                    3.3
                                                         54.6 -6.1
 13.3010048.2 9323.5 143.9 -160.2 15.1 16.6 15.9
                                                         54.6
                                                              -6.1
 13.4010127.3 9403.3 143.9 –160.3 15.0 16.5 15.7
                                                         54.6 -6.2
 13.5010206.4 9483.1 143.8 -160.4 14.8 16.3 15.6
                                                    3.3
                                                         54.7 - 6.2
 13.6010285.4 9562.8 143.7 -160.5 14.7 16.1 15.4
                                                    3.2
                                                         54.7 - 6.3
 13.6910364.3 9642.3 143.7 -160.7 14.5 15.9 15.2
                                                         54.7 - 6.4
                                                    3.2
 13.7910443.1 9721.8 143.6 -160.8 14.4 15.8 15.1
                                                     3.2
                                                          54.7 - 6.5
 13.8910522.0 9801.3 143.5 -160.9 14.2 15.6 14.9
                                                     3.2
                                                          54.8 - 6.5
 13.9910600.8 9880.8 143.5 -161.0 14.1 15.4 14.8
                                                     3.1
                                                          54.8 - 6.7
 14.0910679.4 9960.0 143.4 -161.2 13.9 15.3 14.6
                                                     3.1
                                                          54.8 -6.8
 14.1810758.010039.2 143.3 -161.3 13.8 15.1 14.5
                                                     3.1
                                                          54.8
                                                              -6.9
 14.2810836.710118.4 143.3 -161.4 13.7 15.0 14.3
                                                     3.1
                                                          54.9 -7.0
                                                     3.1
                                                          54.9 -7.1
 14.3810915.310197.6 143.2 -161.5 13.5 14.8 14.2
 14.4810993.810276.7 143.2 -161.6 13.4 14.6 14.0
                                                     3.0
                                                          54.9 - 7.2
 14.5711072.210355.6 143.1 -161.7 13.2 14.5 13.9
                                                     3.0
                                                          54.9 - 7.3
 14.6711150.610434.6 143.1 -161.8 13.1 14.3 13.7
                                                     3.0
                                                          55.0 -7.4
 14.7711229.110513.5 143.0 -162.0 13.0 14.2 13.6
                                                          55.0 - 7.6
                                                     3.0
 14.8711307.510592.5 143.0 -162.1 12.8 14.1 13.4
                                                     3.0
                                                          55.0 -7.7
 14.9611385.810671.3 142.9 -162.2 12.7 13.9 13.3 2.9
                                                          55.0 -7.8
 15.0611464.010750.0 142.9 -162.3 12.6 13.8 13.2 2.9
                                                          55.1 -8.0
```

REPROCESSING

0.25-s CW

Fig. 10 (cont.) – For the reprocessing of CST–5 Run 1B data, printout of various direct–path processing input parameters and output values as a function of time for the 0.25–s CWs. Selected times have been chosen from the original files.



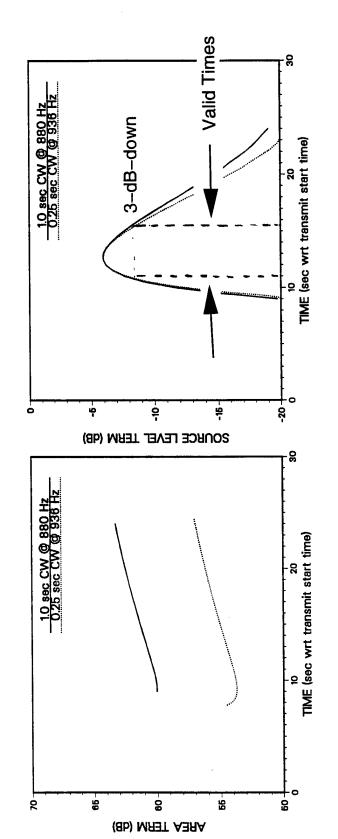
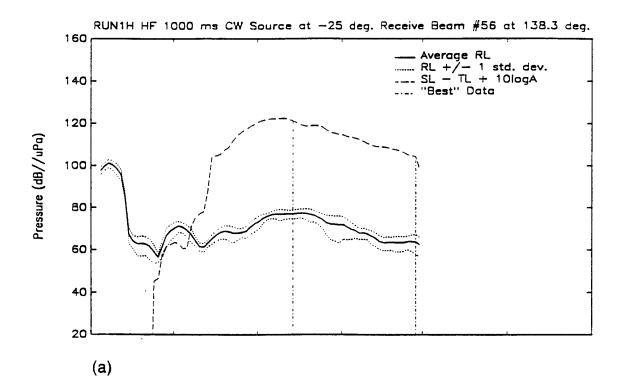


Fig. 11 - For the reprocessed data of CST-5 Run 1B data, various sonar-equation terms as a function of time for the 138°R beam.



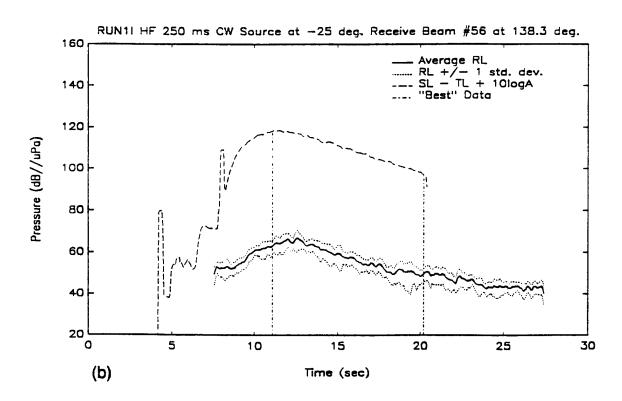
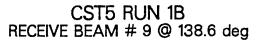
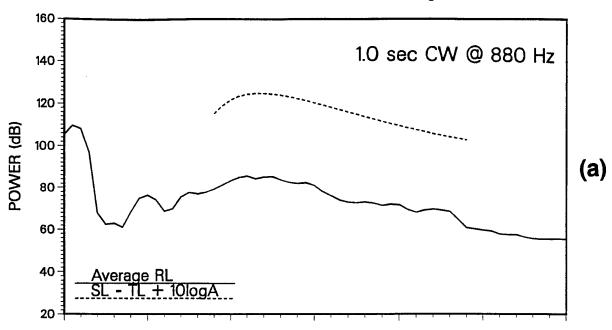


Fig. 12 – RL and SL+WA curves derived in the original processing for the 138°R beam for the (a) 1-s, 880-Hz and (b) 0.25-s, 936-Hz CWs in CST-5 Run 1B. (From Reilly and Sundvik 1992.)





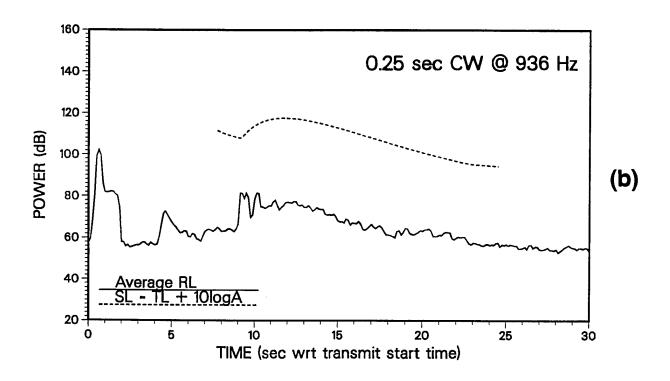


Fig. 13 - RL and SL+WA curves derived in the reprocessing for the 138°R beam for the (a) 1-s, 880-Hz and (b) 0.25-s, 936-Hz CWs in CST-5 Run 1B.

CST5 RUN 1B RECEIVE BEAM # 9 @ 138.6 deg

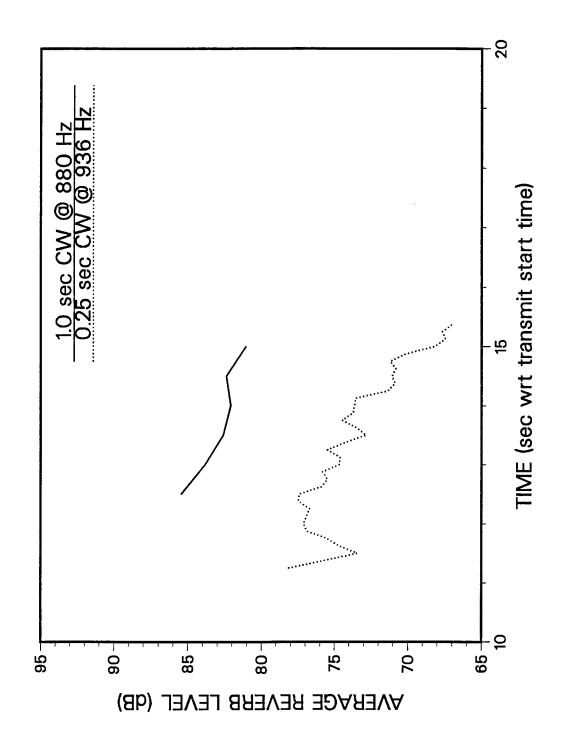


Fig. 14 - RL-NL curves for valid times only derived in the reprocessing. Shown is the 138°R beam for the 1-s, 880-Hz and 0.25-s, 936-Hz CWs.

CST5 RUN 1B RECEIVE BEAM # 9 @ 138.6 deg

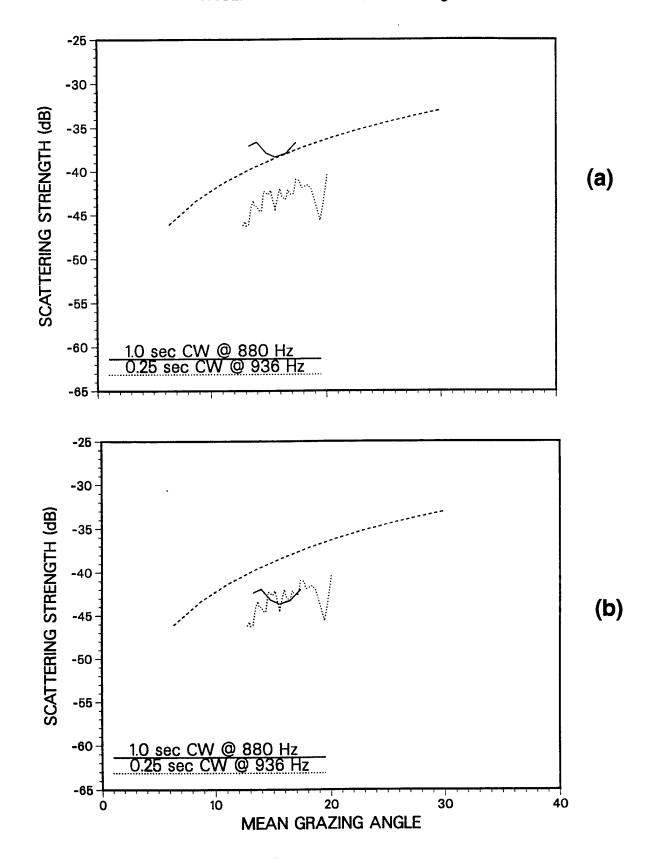


Fig. 15 - Reprocessed CST-5 Run-1B HF BSS's for the 138°R beam using (a) the original SLs and (b) the originally "intended" SLs.



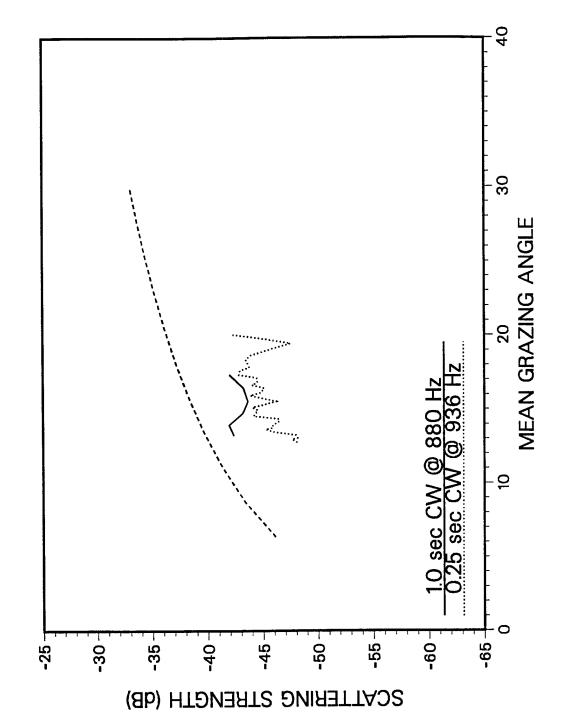


Fig. 16 - Reprocessed CST-5 Run-1B HF BSS's for the 138°R beam using the newly-derived SLs.

CST5 RUN 1B RECEIVE BEAM # 5 @ 139.9 deg

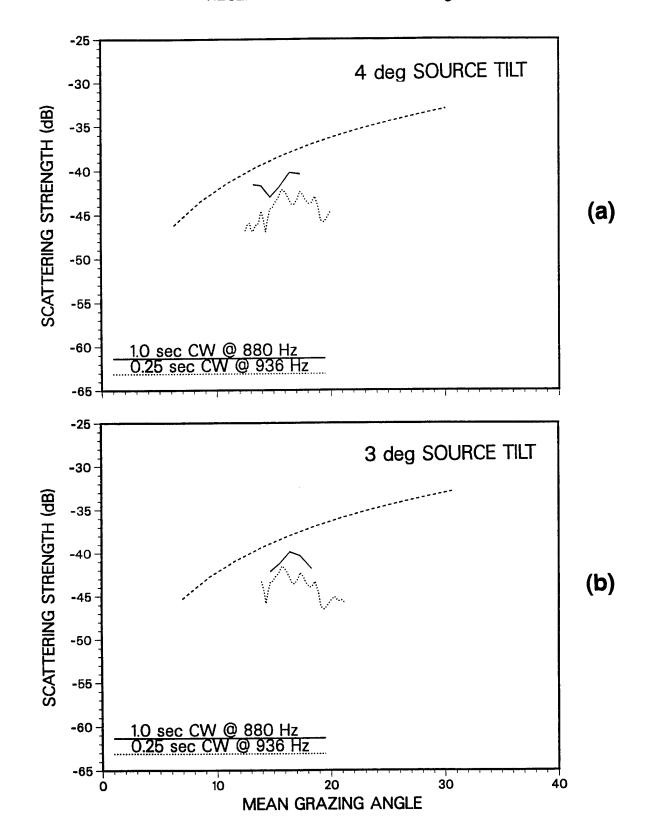


Fig. 17 – Sensitivity to subaperture size and source tilt. Shown are reprocessed CST-5 Run-1B HF BSS's for the 140°R beam using the newly-derived SLs and (a) a 34-phone subaperture and a 4-deg source tilt, and (b) a 34-phone subaperture and a 3-deg source tilt.

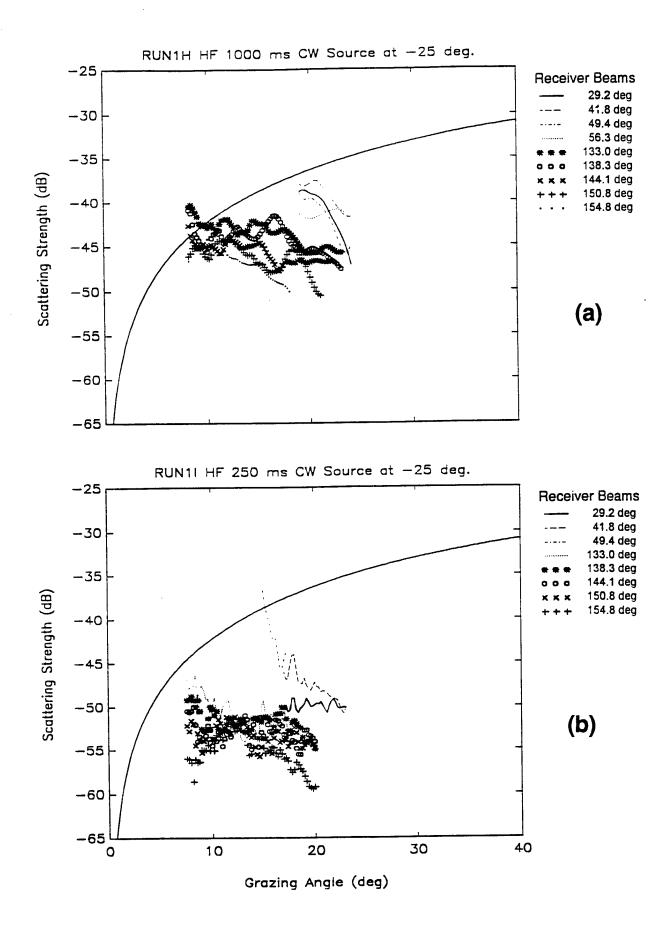


Fig. 18 - Reported CST-5 Run-1B BSS's for various beams for the (a) 1-s, 880-Hz and (b) 0.25-s, 936-Hz CWs. (From Reilly and Sundvik 1992.)

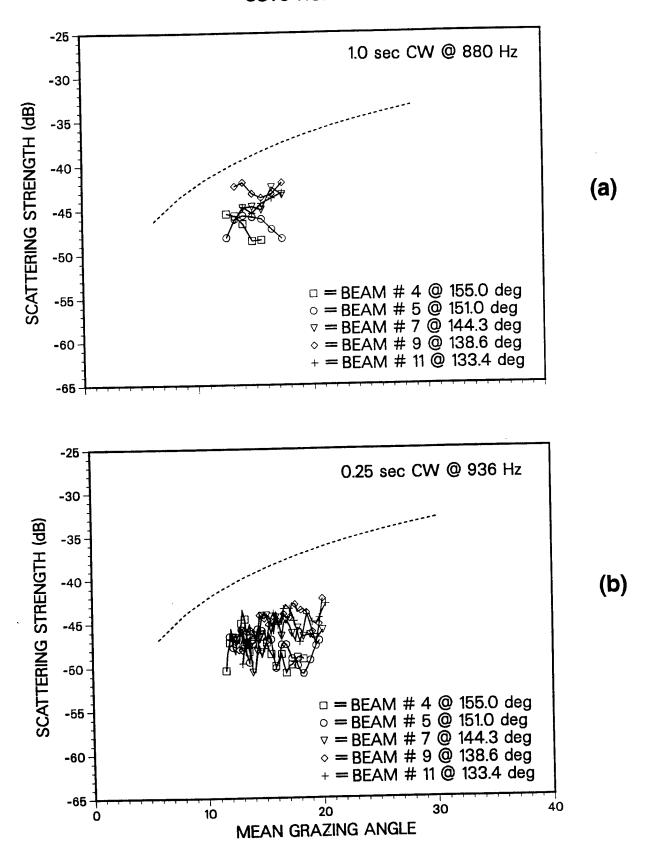


Fig. 19 - Reprocessed CST-5 Run-1B BSS's using the newly-derived SLs for various beams for the (a) 1-s, 880-Hz and (b) 0.25-s, 936-Hz CWs.

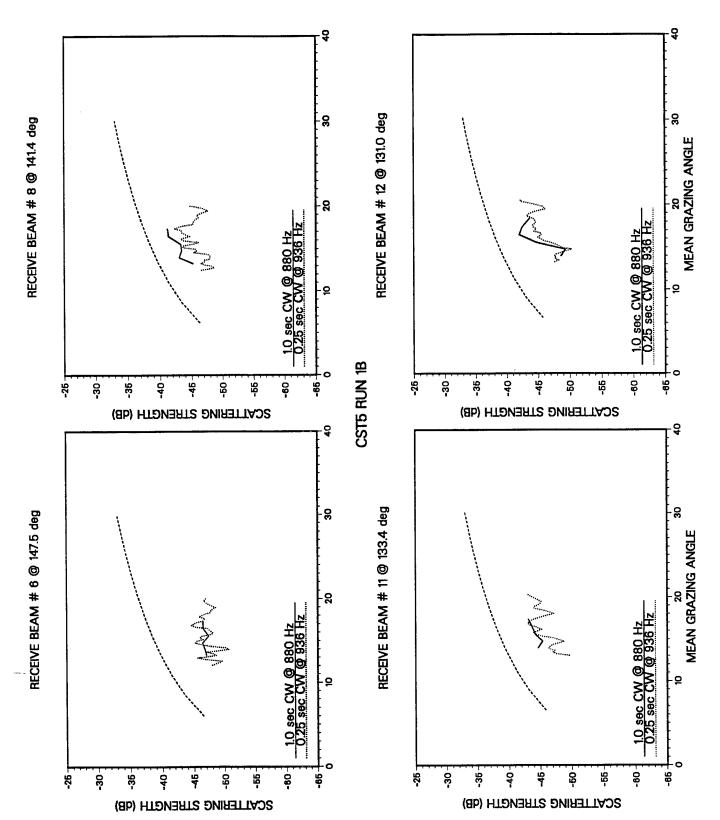


Fig. 20 – Comparison of reprocessed CST-5 Run-1B, HF 0.25- and 1-s-CW BSS's for selected beams (using the newly-derived SLs).

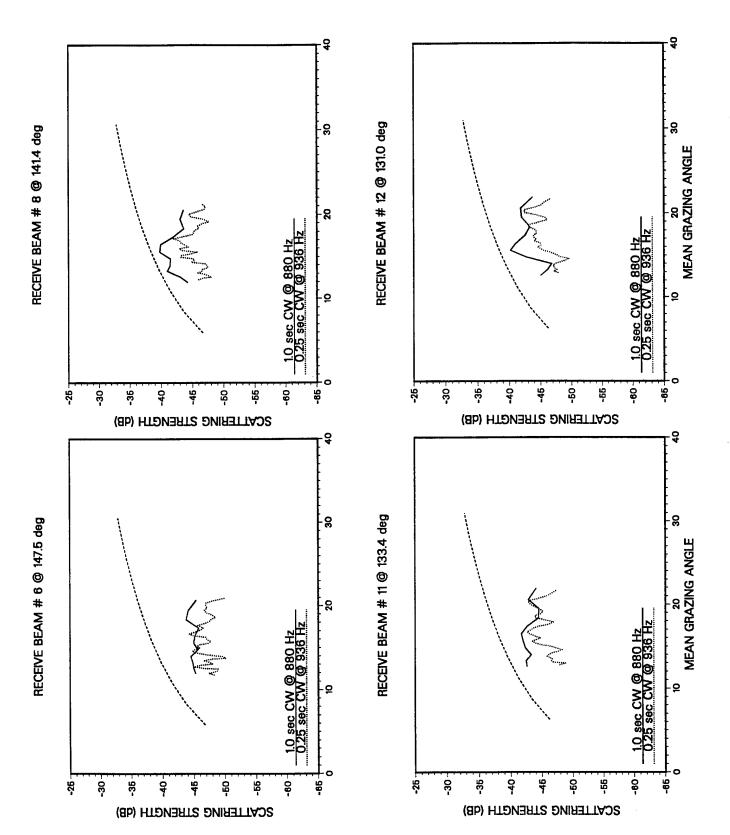


Fig. 21 - Reprocessed CST-5 Run-1B HF BSS's for the 0.25- and 1-s CWs for selected beams for timing differences of -0.25 and -1.0 s, respectively. (These are using the newly-derived SLs.)

CST5 RUN 1B (4 deg SOURCE TILT) RECEIVE BEAM # 5 @ 139.9 deg

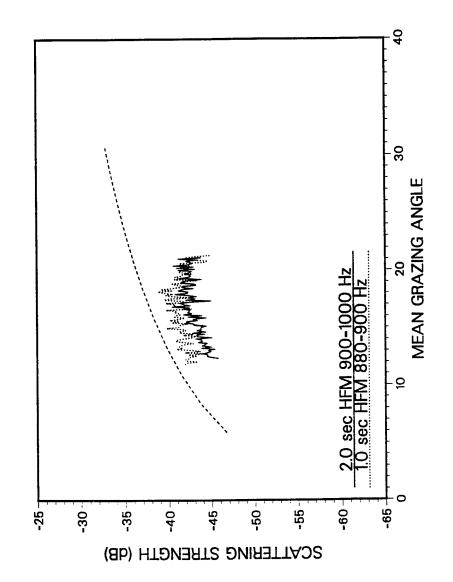


Fig. 22 - Reprocessed CST-5 Run-1B HF BSS's derived from 1-s and 2-s HFM data.

4 4 5 2

Fig. 23 - CST-5 HF BSS's derived using adaptive beamforming on Run-2 SUS data.

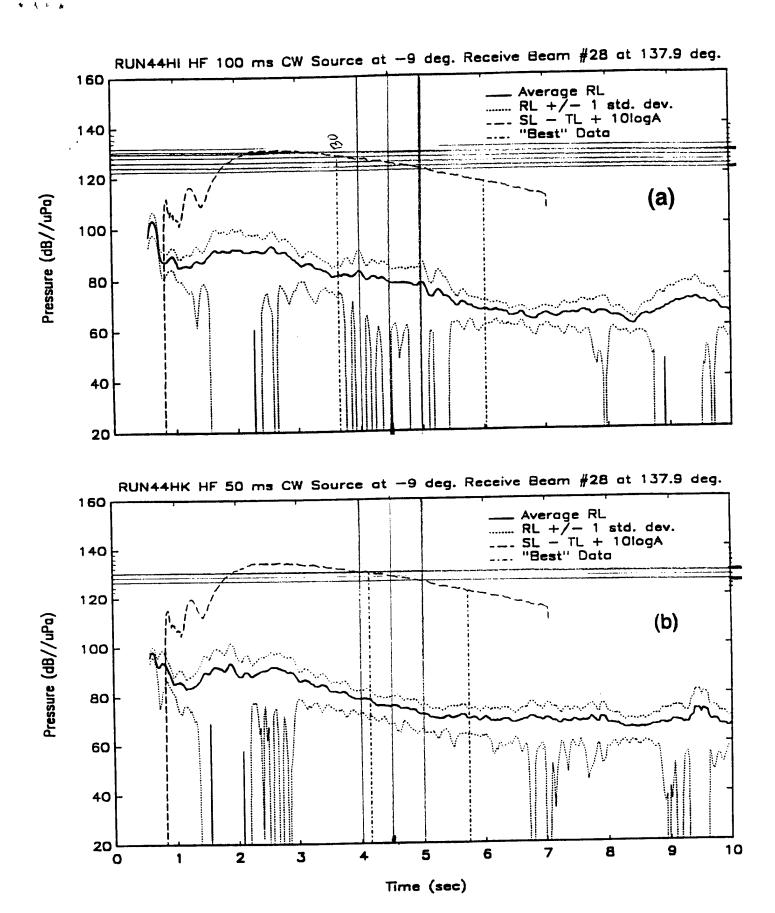
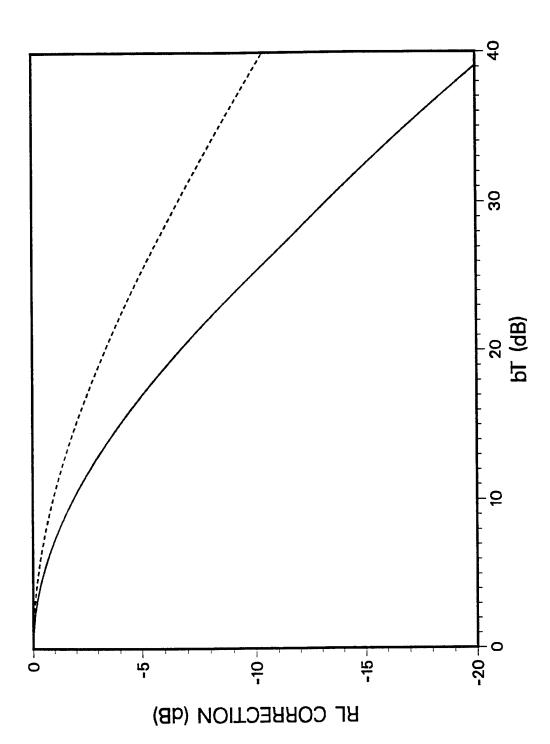


Fig. 24 - CST-5 Run-44 RL and SL+WA curves derived in the original processing for the 138°R beam for the (a) 0.1-s, 1004-Hz and (b) 0.05-s, 935-Hz CWs. (From Reilly and Sundvik 1992.)



level change over a time T. (Here b represents the local slope of the reverberation-decay curve in dB/s.) The solid curve represents the case where the processing resolution is matched to the pulse duration of T s. The dashed curve represents Fig. 25 - The effect of a long pulse if the receive level changes rapidly. Shown is required RL correction versus the receivethe case where an impulsive signal is processed with a T-s window. (Adapted from Fig. 2 in Henyey et al. 1996.)